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Rebecca P. George, Ines Semendric, Eleanor R. Bowley-Schubert, Christine T. Chivonivoni, Alexandra P. Warrender, Alexandra L. Whittaker
Reporting in rodent models of 'chemobrain': a systematic review assessing compliance with the ARRIVE guidelines
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1 **Reporting in Rodent Models of ‘Chemobrain’: a Systematic Review Assessing**
2 **Compliance with the ARRIVE Guidelines**

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9 **Abstract**

10 Patients diagnosed with cancer are often plagued with debilitating side effects post
11 chemotherapy treatment. One such side effect is chemotherapy-induced cognitive impairment
12 or ‘chemobrain’. Rodent models are commonly used to investigate pathogenesis and potential
13 therapeutic strategies. However, concerns have been raised regarding inadequacies in reporting
14 of animal studies rendering them unreliable and irreproducible. The aim of this systematic
15 review was to assess compliance with the ARRIVE reporting guidelines in peer-reviewed
16 publications evaluating chemotherapy-induced cognitive changes in rodent models, and to
17 determine if the introduction of the ARRIVE guidelines has improved quality of reporting. A
18 comprehensive search was conducted to identify relevant peer reviewed publications. Ninety-
19 seven studies met the eligibility criteria and publication compliance with the ARRIVE
20 guidelines reporting was assessed. No studies achieved full adherence with the ARRIVE
21 guidelines. Furthermore, no significant improvement was demonstrated in the overall
22 compliance score post-ARRIVE. Given the lack of standardisation of animal models in this
23 research area, these results pose particular threat to future progress and translation of findings
24 in this area of research. These results highlight the need for stricter adherence to the ARRIVE
25 guidelines by journal editors and reviewers. Animal Ethics Committees also have an important
26 educative role in improving knowledge and awareness of the guidelines amongst researchers.

27 **Keywords** chemobrain; CICI; cognitive impairment; ARRIVE, reporting guidelines

28 **Introduction**

29 The development of new, diverse chemotherapeutic agents for cancer therapy has led to a
30 considerably reduced reoccurrence and higher survival rates for numerous types of cancer [39].

31 Despite this relative success, patients are often plagued with undesirable, debilitating side
32 effects arising from treatment, resulting in forced dose reduction or even cessation of treatment
33 [37]. Chemotherapy agents act to prevent cancer cell multiplication in surrounding tissues
34 whilst limiting overall cell proliferation. However, this process is non-specific and toxic effects
35 on normal functioning cells are common. The CNS is particularly vulnerable to toxicity
36 resulting in a condition colloquially termed ‘chemobrain’[32]. Chemotherapy-induced
37 cognitive impairment (CICI) or ‘chemobrain’ is a condition that has been associated with
38 chemotherapy administration and may affect up to 75% of patients for around 2 years following
39 treatment courses, with around 35% of those patients experiencing deficits lasting some
40 decades [1, 32]. However, despite the condition name, cognitive impairment can also arise as
41 a result of other common cancer treatment modalities, such as cranial radiation and hormonal
42 therapy. There is also evidence that cancer itself causes impairments, either through direct
43 effects or linked to associated factors such as fatigue and distress [11, 28, 38]. Common side
44 effects include deficiencies in attention, language, memory and executive function, as well as
45 fatigue, psychomotor function and motivational deficits [16, 24, 37, 40]. These symptoms have
46 been consistently observed across all cancer types, including those not of CNS origin where
47 direct effects on brain tissue are expected [15, 16]. Cognitive impairments can have a
48 significant impact on activities of daily life, employment, leisure and maintenance of
49 relationships [10, 15]. Chemobrain thus presents a significant personal and societal burden,
50 especially as cancer survivorship increases. In recognition of the implications of chemobrain
51 for survivor quality of life post-treatment, multiple existing survivorship frameworks have
52 identified the need for greater understanding of the pathogenesis underlying chemobrain, as
53 well as the development of treatment and mitigation options, as priorities for research [23].

54

55 Patient research plays a key role in understanding the prevalence, severity and lived experience
56 of this condition [3, 7, 21, 22, 26, 31]. However, interpretation of patient data can be
57 challenging, due to the number of confounding factors inherent in observational study designs,
58 including patient age, cancer type, treatment administered, presence of comorbidities, disease
59 progression, pre-treatment cognitive status, as well as experiences of anxiety or depression [8,
60 18, 29, 34]. These factors, as well as the need for invasive tissue collection, mean that clinical
61 studies are often not ideal for gaining mechanistic understanding of chemobrain, or in early-
62 stage testing of therapeutics. Animal studies are therefore used since they allow control of
63 extraneous variables, such as genetics and tumour status. As a result, the majority of pre-
64 clinical studies of chemobrain use a rodent model [30].

65

66 Whilst rodent models are primarily utilised in ‘chemobrain’ research, concerns have been
67 raised in recent years about the extent of inadequate reporting of animal studies, consequently
68 rendering them unreliable and irreproducible [2, 20, 35]. Incomplete descriptions of
69 methodological items, as well as inappropriate or incomplete reporting of data raise scientific,
70 ethical and economic concerns. Therefore, transparent reporting of methods and results is a
71 vital component in increasing reproducibility of findings [5]. In an attempt to address these
72 concerns, The ARRIVE (Animals in Research: Reporting in vivo Experiments) guidelines were
73 released in June 2010 [12]. These guidelines aimed to universally improve the quality of animal
74 reporting, increase transparency of findings and ultimately allow for greater reproducibility and
75 translation of results of animal studies [5, 12]. Landis and colleagues in 2012 further refined
76 the list to four key attributes that should be reported on at a minimum. Randomisation, blinding,
77 sample-size estimation and data handling were deemed to be universally accepted as core issues
78 impacting on study evaluation [14]. The ARRIVE guidelines were updated in 2020 by
79 reorganizing items to facilitate their use [25]. The current guidelines are organized into two
80 sets, comprising an essential ten that should be included as a minimum in any publication where
81 animal research was performed, and a recommended set to complement these. Reporting of the
82 items in both sets represents best practice, and should be the ultimate goal. The guidelines also
83 consist of a simple checklist summarizing the minimum information required. This document
84 is designed to aid authors when preparing manuscripts, and allow journal reviewers and editors
85 to simply confirm compliance with guidelines [25].

86

87 In comparison to other more established pre-clinical research areas, there is greater variability
88 in animal models for chemobrain, with no established ‘standard’ model [4, 18]. Furthermore,
89 outcomes assessed are heterogeneous and commonly include results of a range of behavioural
90 tests which are known to be highly variable both within and between animals [6]. This
91 variability makes accurate and transparent reporting, especially of animal-related
92 characteristics, even more important in this area of research to enhance opportunities for
93 translation of findings to clinical settings. Therefore, the goal of this systematic review was to
94 assess compliance with the ARRIVE guidelines in scientific publications evaluating
95 chemotherapy-induced cognitive changes in rodent models. The secondary aim was to
96 determine if the introduction of the ARRIVE guidelines has made an impact on quality of
97 reporting.

98 **Methods**

99 **Search Strategy**

100 A comprehensive search was conducted using Medline via PubMed and Scopus to
101 identify relevant peer reviewed publications. The search strategy consisted of terms such as
102 “chemotherapy”, “anti-cancer agents”, “animal models”, “cognitive impairment”, “cancer
103 induced cognition changes” and related synonyms (refer to supplementary material S1). The
104 search was conducted in August 2020. Independent reviewers (CC, AW, and EB) screened
105 articles based on pre-defined inclusion and exclusion criteria and any conflicts were resolved
106 by discussion. The third reviewer was consulted if a consensus could not be met.

107

108 **Inclusion and Exclusion Criteria**

109 In order to be considered for review, the articles were required to meet the following
110 criteria: [1] original full text articles available from database; [2] use of a rat/mouse model
111 regardless of strain, sex or age; [3] administration of cancer chemotherapy agent regardless of
112 route of administration or dosage, to naïve, cancer-inoculated, or tumour-inoculated animals;
113 [4] studies that evaluated chemotherapy-induced cognitive impairment via either behavioural
114 or tissue based measures or combination thereof; [5] English language publications.

115 The exclusion criteria for articles were as follows: abstracts and conference
116 proceedings, review articles, use of non-rat/mouse animal models or human clinical studies,
117 studies that did not assess chemotherapy-induced cognitive impairment, and non-English
118 publications.

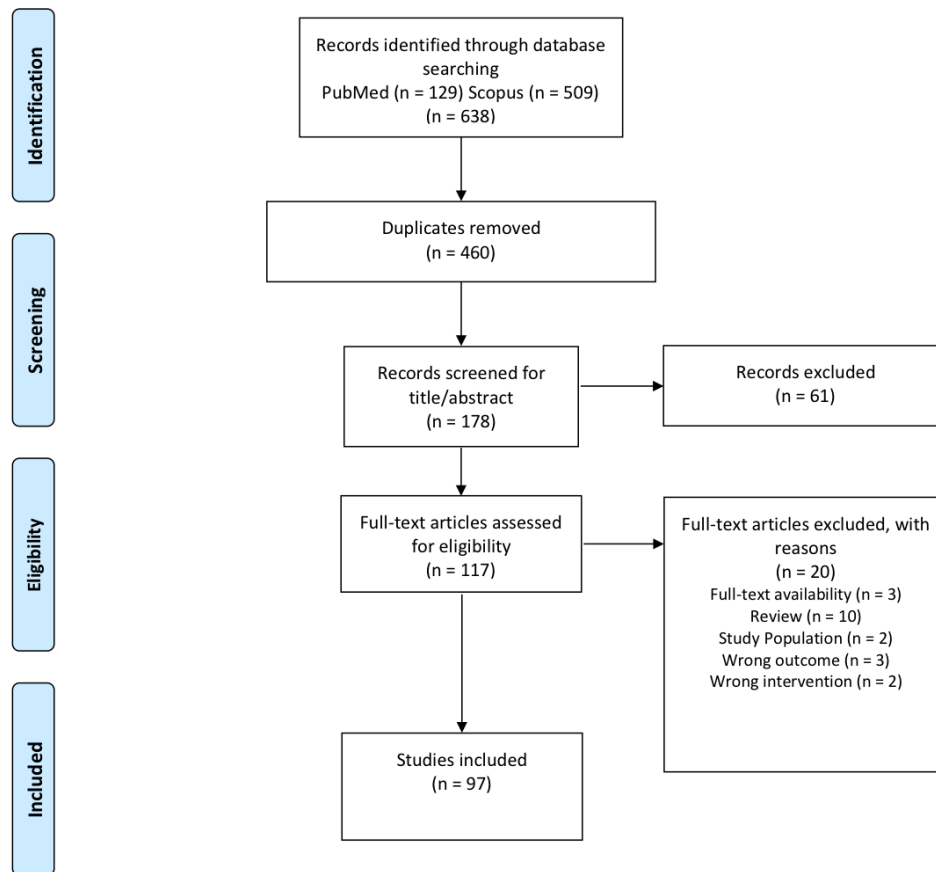
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120 **Search Results**

121 The database search identified a total of 638 studies. All citations were uploaded to
122 EndNote (EndNote TM X9) and then imported into Covidence (Covidence systematic review
123 software, Veritas Health Innovation, Melbourne, Australia) where duplicates were
124 automatically identified, removed and manually cross referenced. The remaining studies were
125 screened based on title and abstract against the pre-defined inclusion and exclusion criteria by
126 three independent reviewers (CC, AW, and EB). A total of 399 studies were excluded based
127 on title and abstract screening, with 117 studies remaining for full text assessment.

128 Full texts were manually retrieved and imported into Covidence. Three independent
129 reviewers thoroughly assessed the studies by full text for their eligibility. During the eligibility

130 process, 20 studies were excluded due to not being an original full text article, not assessing
131 chemotherapy agents, not utilising a rodent model and full text being unavailable. Details of
132 the identification process are described in the Preferred Reporting Items for Systematic
133 Reviews and Meta-Analyses (PRISMA).



134

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Figure 1. PRISMA flow diagram for the review process [19].

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137 Data Extraction

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Data were extracted from 97 included studies by two independent reviewers (RG and IS) with a third independent reviewer consulted if necessary (A.L.W). Prior to data extraction two reviewers independently extracted data from two studies to ensure uniformity in the reviewing process. To assess publication compliance with the ARRIVE guidelines reporting was assessed at an item and sub-item level (table 1), namely ethical statement (item 5), study design (item 6a and 6b), experimental procedures (item 7a, 7b, 7c and 7d), experimental animals (item 8), housing and husbandry (item 9a and 9b), sample size (item 10a and 10b), allocating animals to experimental groups (item 11a), statistical methods (item 13a), baseline

146 data (item 14), numbers analysed (item 15a), outcomes and estimations (item 16) and adverse
147 events (item 17a and 17b). Each included study was critically appraised for compliance with
148 the guidelines for the items with a rating score from 0-2 assigned (0 = not reported; 1 = partially
149 reported, 2 = reported) (table 1). In addition, item 6b (blinding) was differentiated to item 11a
150 (randomisation of animal allocation to treatment group) as blinding may include randomisation
151 as a method of reducing subjective bias. Since ethical statement (item 5) and adverse events
152 (item 17a and 17b) can only be scored in a binary fashion (yes or no), these items were either
153 allocated a 0 or a 1 by the independent reviewers and this is reflected in the total score. For
154 visualisation of data these items were classified as '0 = not reported' and '2 = reported'. The
155 rating score for each ARRIVE guideline item was summed to produce a total compliance score
156 out of 36. Information regarding citation information (authors, year of publication, titles,
157 country of corresponding author) was also extracted. Additionally, in order to determine if the
158 introduction of the ARRIVE guidelines has made an impact on quality of reporting, included
159 studies were divided into two groups; pre-introduction of the ARRIVE guidelines (pre-
160 ARRIVE) and post- introduction of the ARRIVE guidelines (post-ARRIVE). Extracted data
161 were cross-checked and verified by two independent reviewers.

162

163 **Statistical Analysis**

164 The statistical analysis was performed utilising IBM SPSS (SPSS Inc., Chicago, IL, USA)
165 statistical software. Data were tested for normality and homogeneity of variance utilising the
166 Shapiro-Wilk test. A repeated measures ANOVA was performed to determine changes in
167 reporting across years. Due to unequal variance between groups compliance scores pre- and
168 post- ARRIVE guidelines were compared using a Welch's t-test. Statistical significance was
169 determined at $p < 0.05$.

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174

175 Table 1. Rating score used to evaluate the quality of reporting in rodent models of
176 chemobrain based on the ARRIVE Guidelines

Category	Item	Recommendation Description	Rating score
Methods			
Ethical statement	5	Ethical statement	0 – not reported 1 – reported
Study Design	6a	The number of experimental and control groups.	0 – not reported 1 – partially reported 2 – reported
	6b	Blinding - minimise the effects of subjective bias when allocating animals to treatment (e.g. randomisation procedure) and when assessing results (e.g. blinded observer).	0 – not reported 1 – partially reported 2 – reported
Experimental procedures	7a	How (e.g. drug formulation and dose, site and route of administration, anaesthesia and analgesia used). Details of any specialist equipment used, including supplier(s).	0 – not reported 1 – partially reported 2 – reported
	7b	When (e.g. time of day).	0 – not reported 1 – partially reported 2 – reported
	7c	Where (e.g. home cage, laboratory, water maze).	0 – not reported 1 – partially reported 2 – reported
	7d	Why (e.g. rationale for choice of procedures)	0 – not reported 1 – partially reported 2 – reported
Experimental animals	8a	Animal characteristics (including species, strain, sex, developmental stage and weight	0 – not reported 1 – partially reported 2 – reported
Housing and husbandry	9a	Housing (type of facility, type of cage or housing, bedding material, number of cage companions)	0 – not reported 1 – partially reported 2 – reported
	9b	Husbandry conditions (e.g. light/dark cycle, temperature, access to food and water, environmental enrichment).	0 – not reported 1 – partially reported 2 – reported
	9c	Welfare-related assessments and interventions that were carried out prior to, during, or after the experiment.	0 – not reported 1 – partially reported 2 – reported
Sample size	10a	Total number of animals used in each experiment, and the number of animals in each experimental group.	0 – not reported 1 – partially reported 2 – reported
	10b	Explain how the number of animals was arrived at. Details of any sample size calculation used.	0 – not reported 1 – partially reported 2 – reported
Allocating animals to experimental groups	11a	Randomisation - Details of how animals were allocated to experimental groups, including randomisation or matching if done.	0 – not reported 1 – partially reported 2 – reported
Statistical methods	13a	Details of the statistical methods used for each analysis.	0 – not reported 1 – partially reported 2 – reported
	13c	Methods used to assess whether the data met the assumptions of the statistical approach.	0 – not reported 1 – partially reported 2 – reported
Results			
Baseline data	14	For each experimental group, relevant characteristics and health status of animals (e.g. weight, microbiological status, and drug or test naïve) prior to treatment or testing.	0 – not reported 1 – partially reported 2 – reported
Numbers analysed	15a	Number of animals in each group included in each analysis. Report absolute numbers.	0 – not reported 1 – partially reported 2 – reported
Outcomes and estimation	16	Results for each analysis carried out, with a measure of precision (e.g. standard error or confidence interval).	0 – not reported 1 – partially reported 2 – reported
Adverse events	17a	Details of all important adverse events in each experimental group.	0 – not reported 1 – reported

177

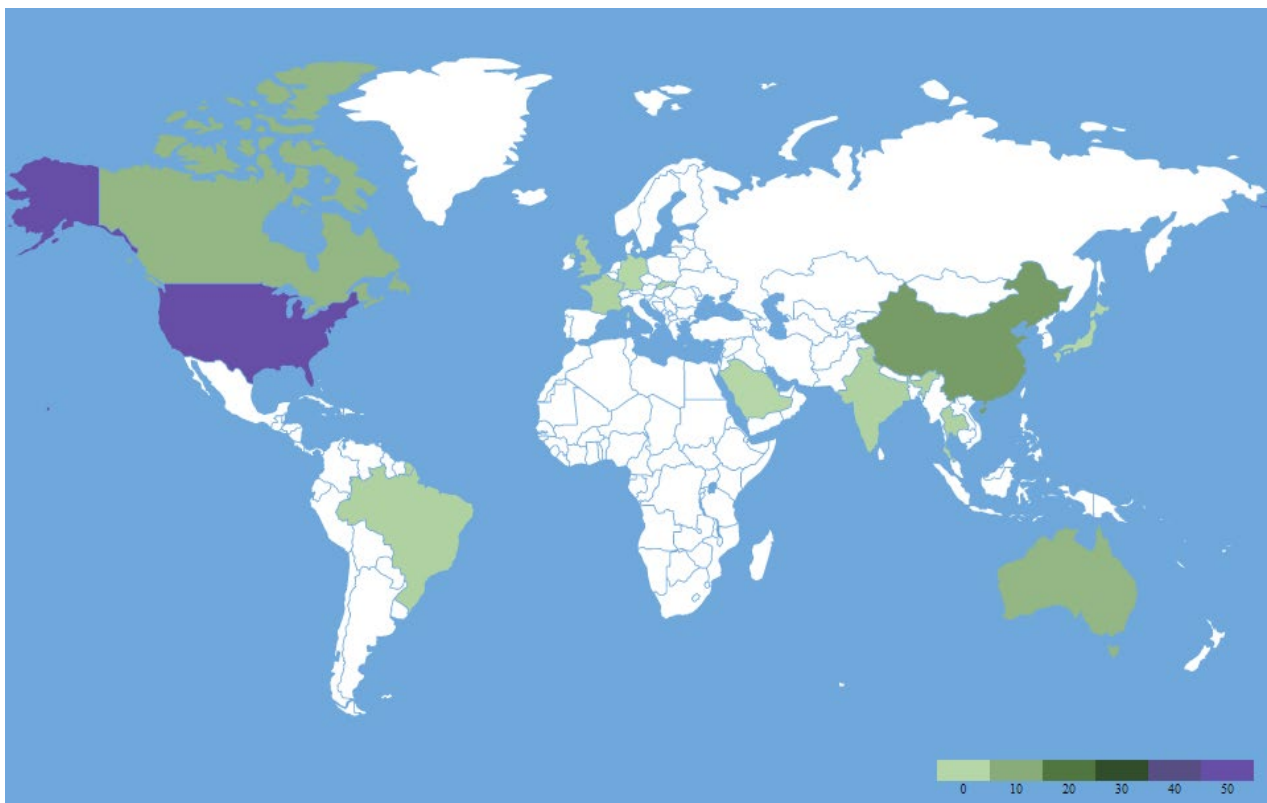
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179 Results

180 *Descriptive characteristics of included studies*

181 A total of 97 studies met the eligibility criteria and were included in this review. The
182 publication dates ranged from 2008 to 2020, with 88.7% (86 studies) of the included studies
183 being published after the ARRIVE Guidelines were published in June 2010. Data were
184 provided from 19 countries; Canada (n=7), United States (n=43), China (n=12), Brazil (n=2),
185 The Netherlands (n=2), United Kingdom (n=3), Australia (n=7), Egypt (n=1), Republic of
186 Korea (n=7), France (n=2), Japan (n=1), Ireland (n=1), Amsterdam (n=1), Chile (n=1),
187 Germany (n=1), India (n=2), Saudi Arabia (n=1), Thailand (n=2) and Slovakia (n=1) (Figure
188 2).

189



190

191 Figure 2. World heat map depicting geographical location of corresponding author of included
192 studies.

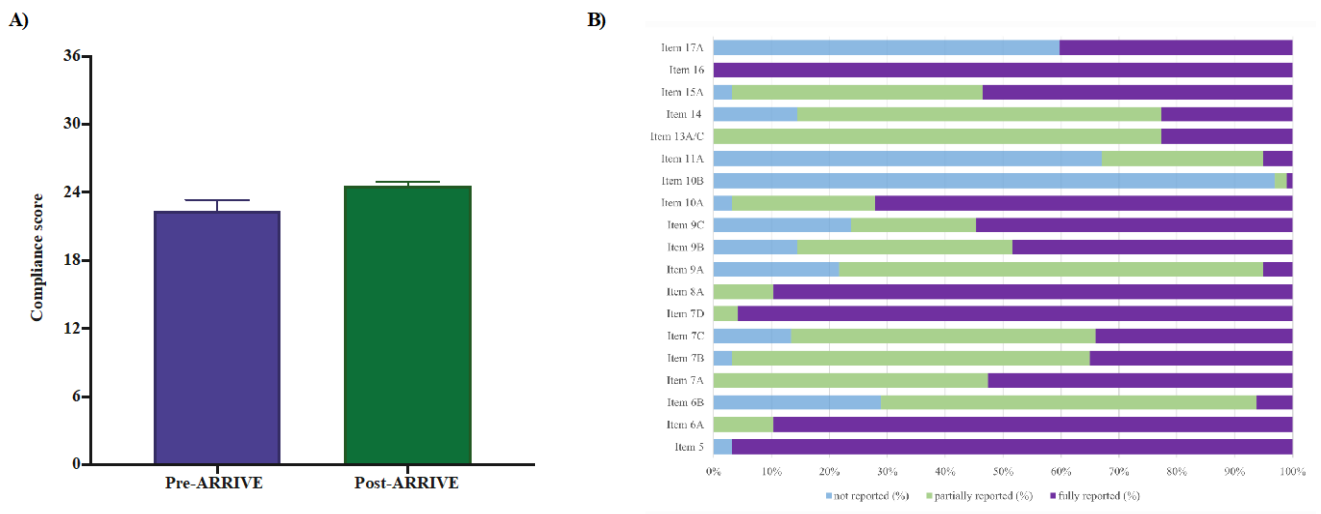
193

194 *Overall compliance score*

195 To achieve 100% compliance, the included studies had to fully report each item and
196 sub-item included in the evaluation (Table 1). A total of 12 items comprising 20 sub-items
197 concerning reporting in the Methods and Results sections were evaluated in this study. None
198 of the included studies achieved full adherence with the ARRIVE guidelines. Further, no
199 significant improvement was demonstrated in the overall compliance score post-ARRIVE

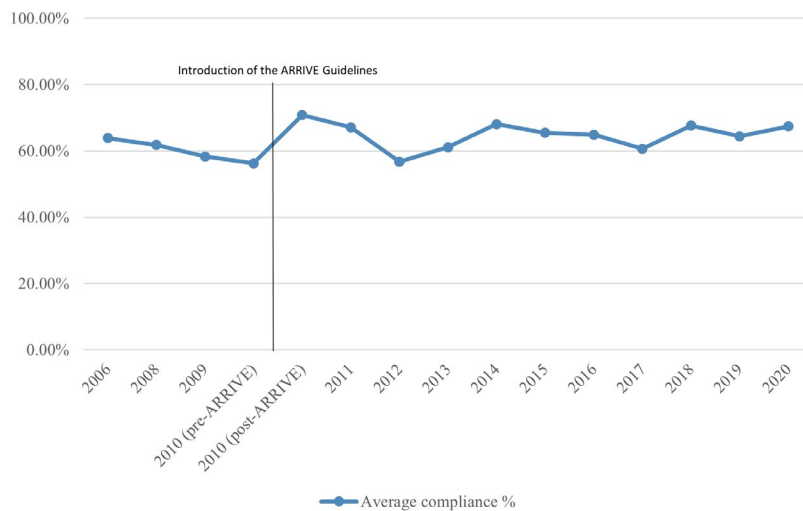
200 ($t_{13.593} = 2.021, p = 0.063$). The mean compliance score rated out of 36 for pre-ARRIVE and
 201 post-ARRIVE was less than 70% (pre-ARRIVE, mean compliance score 22.36; post-ARRIVE,
 202 mean compliance score 24.58) (Figure 3A). Out of the items evaluated only the outcomes and
 203 estimations (item 16) were reported in 100% of the included studies, both pre-ARRIVE and
 204 post-ARRIVE (Figure 3B). Overall compliance rating for each sub-item for Pre-ARRIVE and
 205 Post-ARRIVE guidelines are displayed in Figure 5 and Figure 6, respectively. There were no
 206 statistically significant differences observed between the overall reporting compliance across
 207 the years published ($F(14, 82) = 0.7200, p = p = 0.7485$) (Figure 4).

208
209



210
 211 Figure 3. A) Mean compliance score of studies pre-ARRIVE and post-ARRIVE. $N = 97$, B)
 212 Percentage (%) of items not reported, partially reported, and fully reported for each ARRIVE
 213 item analysed.

214



215

216 Figure 4. Mean adherence (%) with the ARRIVE guidelines of included studies ranging from
217 2006-2020 visualising the introduction of the ARRIVE Guidelines in July 2010.

218

219 *Per-item analysis*

220 *Item 5 – ethical statement*

221 Overall, 3.1% of studies reported no ethical statement and 96.9% of studies fully reported an
222 ethical statement. In studies published pre-ARRIVE, 18.2% reported no ethical statement and
223 81.8% reported an ethical statement. In contrast, in studies published post-ARRIVE 1.2%
224 reported no ethical statement and 98.8% reported an ethical statement.

225

226 *Item 6a and 6b – study design*

227 It was found that 10.3% of studies partially reported the number of experimental and control
228 groups (item 6a), and 89.7% of studies fully reported. In studies published pre-ARRIVE, 27.3%
229 partially reported and 72.7% fully reported this item, while in studies published post-ARRIVE
230 8.1% partially reported and 91.9% fully reported. Overall, 28.9% of studies did not report
231 blinding procedures, 65% partially reported, and 6.2% fully reported. Of these studies, those
232 published pre-ARRIVE, 54.6% did not report and 45.5% partially reported. In contrast, in post-
233 ARRIVE studies 25.6% did not report, 67.4% partially reported, and 7% fully reported.

234

235 *Item 7a, 7b, 7c, and 7d – experimental procedures*

236 Overall, 47.4% of studies partially reported full experimental procedures (item 7a), and 52.6%
237 fully reported. Of these, 63.6% of pre-ARRIVE studies partially reported and 36.4% fully
238 reported. 45.4% of post-ARRIVE studies partially reported and 54.7% fully reported. In
239 general, 3.1% of studies did not report when procedures were conducted (item 7b), 61.9%
240 partially reported, and 35.1% fully reported. Of these, 72.73% of pre-ARRIVE studies partially
241 reported and 27.3% fully reported. 3.5% of post-ARRIVE studies did not report, 60.5%
242 partially reported, and 36.1% fully reported. Overall, 13.4% of studies did not report where
243 procedures were conducted (item 7c), 52.6% partially reported, and 34% fully reported. Of
244 these, 54.6% of pre-ARRIVE studies partially reported, and 45.5% fully reported. It was
245 discovered that 15.1% of post-ARRIVE studies did not report, 52.3% partially reported, and
246 32.6% fully reported. Study rationale (item 7d) was partially reported in 4.1% of studies and

247 95.9% fully reported. Of the pre-ARRIVE studies 9.1% partially reported, and 90.9% fully
248 reported, while 3.5% of post-ARRIVE studies partially reported, and 96.5% fully reported.

249

250 *Item 8a – experimental animals*

251 Animal characteristics (item 8a) were fully reported in 95.9% of studies and partially reported
252 in 4.1% of overall studies. Pre-ARRIVE studies fully reported in 100% of studies, while 11.6%
253 of post-ARRIVE studies partially reported, and 88.4% fully reported.

254

255 *Item 9a, 9b, and 9c – housing and husbandry*

256 Overall, 21.7% of studies did not report housing conditions (item 9a), 73.2% partially reported,
257 and 5.2% fully reported. It was found that 18.2% of pre-ARRIVE studies did not report, 72.7%
258 partially reported, and 9.1% fully reported. Also, 22.1% of post-ARRIVE studies did not report,
259 73.3% partially reported, and 4.7% fully reported. Overall, 14.4% of studies did not report
260 husbandry conditions (item 9b), 37.1% partially reported, and 48.5% fully reported. Of these
261 63.6% of pre-ARRIVE studies partially reported, and 36.4% fully reported, while 16.3% of
262 post-ARRIVE studies did not report, 33.7% partially reported, and 50% fully reported. Overall,
263 23.7% of studies did not report welfare related assessments and interventions (item 9c), 21.7%
264 partially reported, and 54.6% fully reported. Of the pre-ARRIVE studies, 54.6% did not report,
265 9.1% partially reported, and 36.4% fully reported. Alternatively, 19.8% of post-ARRIVE
266 studies did not report, 23.3% partially reported, and 57% fully reported.

267

268 *Item 10a and 10b – sample size*

269 It was found that 3.1% of studies did not report total number of animals used in each experiment
270 and in each experimental group (item 10a), 24.7% partially reported, and 72.2% fully reported.
271 Of the pre-ARRIVE studies, 45.5% partially reported and 54.6% fully reported, while 3.5% of
272 post-ARRIVE studies did not report, 22.1% partially reported, 74.4% fully reported. Overall,
273 96.9% of studies did not report sample size calculation (item 10b), 2.1% partially reported, and
274 1% fully reported. Furthermore, 100% of pre-ARRIVE studies did not report. 96.5% of post-
275 ARRIVE studies did not report, 2.3% partially reported, and 1.2% fully reported.

276

277 *Item 11a – allocation of animals to experimental groups*

278 Overall, 67% of studies did not report randomisation details for group allocation, 27.8%
279 partially reported, and 5.2% fully reported. It was found that 72.7% of pre-ARRIVE studies
280 did not report, 18.2% partially reported, and 9.1% fully reported, while 66.3% of post-ARRIVE
281 studies did not report, 29.1% partially reported, and 4.7% fully reported.

282

283 *Item 13a/c – statistical methods*

284 The vast majority of studies (77.3%) partially reported details of statistical methods (item 13a)
285 and methods used to assess if data approached statistical significance (item 13c), 22.7% fully
286 reported. Overall, 81.8% of pre-ARRIVE studies partially reported, and 18.2% fully reported.
287 Similarly, 76.7% of post-ARRIVE studies partially reported, and 23.3% fully reported.

288

289 *Item 14 – baseline data*

290 It was found that 14.4% of studies did not report relevant characteristics or health status prior
291 to testing/treatment (item 14), 62.9% partially reported, and 22.7% fully reported. In total
292 18.2% of pre-ARRIVE studies did not report, 72.7% partially reported, and 9.1% fully
293 reported. Comparably, 14% of post-ARRIVE studies did not report, 61.6% partially reported,
294 and 24.4% fully reported.

295

296 *Item 15a – numbers analysed*

297 Overall, 3.1% of studies did not report number of animals from each group included in analysis
298 (item 15a), 43.3% partially reported, and 53.6% fully reported. It was also determined that
299 9.1% of pre-ARRIVE studies did not report, 63.6% partially reported, and 27.3% fully
300 reported, while 2.3% of post-ARRIVE studies did not report, 40.7% partially reported, and
301 57% fully reported.

302

303 *Item 16 – outcomes and estimation*

304 It was shown that 100% of pre-ARRIVE and post-ARRIVE studies reported results for each
305 analysis carried out reported with precision (item 16).

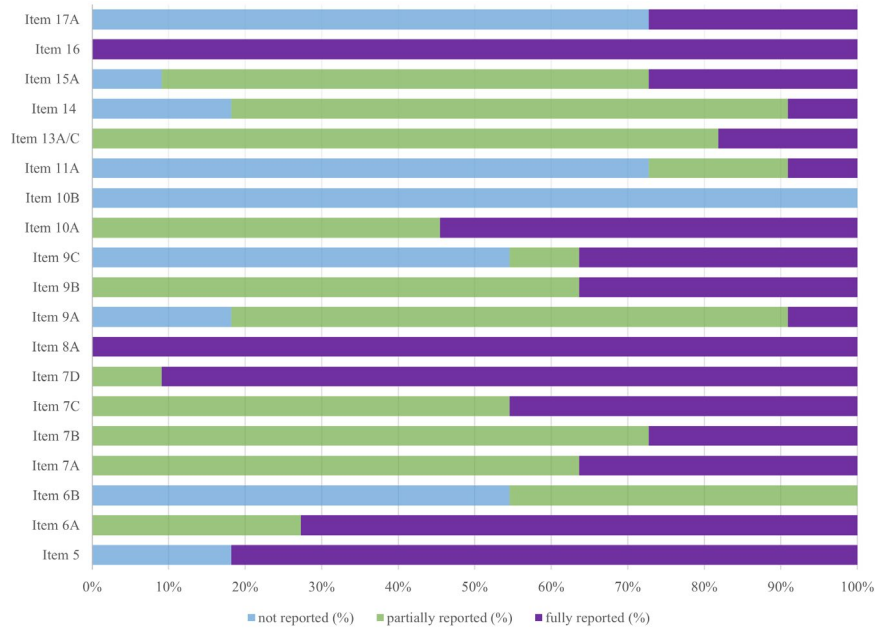
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307 *Item 17a – adverse events*

308 Overall, 59.8% of studies did not report adverse events (item 17a), and 40.2% fully reported.
309 Furthermore, 72.7% of pre-ARRIVE studies did not report, and 27.3% fully reported.

310 Comparably, a total of 58.1% of post-ARRIVE studies did not report, while 41.9% fully
 311 reported.

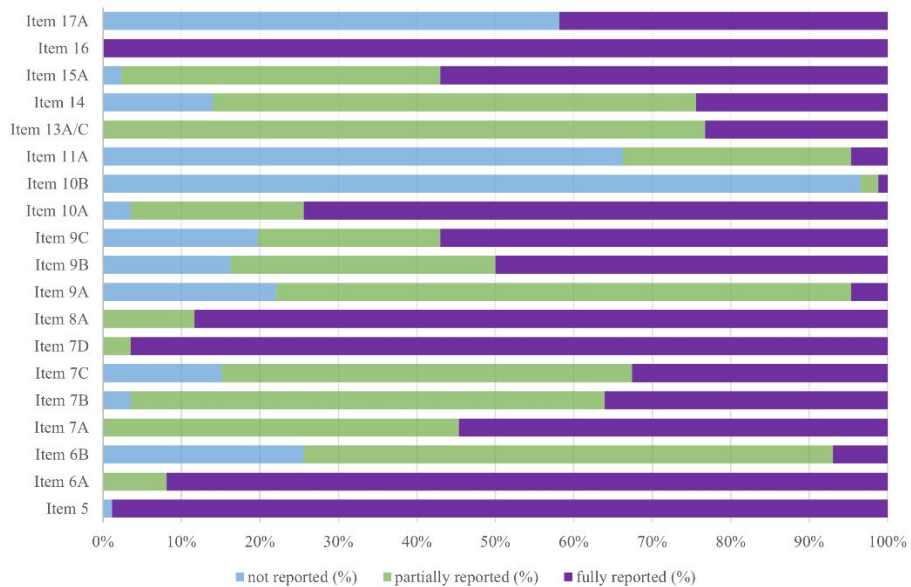
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313

314 Figure 5. Percentage (%) of items not reported, partially reported, and fully reported for each
 315 ARRIVE item analysed pre-ARRIVE. $N = 11$.

316



317

318 Figure 6. Percentage (%) of items not reported, partially reported, and fully reported for each
 319 ARRIVE item analysed post-ARRIVE. $N = 86$.

320

321 **Discussion**

322 Quality and consistency of reporting in animal-based studies is vital for the replication of
323 results, translation of findings to the clinic, and to ensure resource investment in this type of
324 research is not wasted. This is especially so in research areas such as CICI, where animal
325 models are less well-defined and there is considerable heterogeneity of approach. The ARRIVE
326 guidelines are generally regarded as the benchmark for this reporting, being the most widely
327 accepted by a range of journals publishing pre-clinical animal studies [5]. It is therefore
328 surprising that in spite of the widespread purported journal adherence to these standards no
329 articles achieved full adherence with these guidelines.

330 Our results illustrate that out of the ARRIVE subitems evaluated few were reported well and
331 in complete adherence with the ARRIVE guidelines, indicating considerable room for
332 improvement. Outcomes and estimations (item 16), ethical statement (item 5), study rationale
333 (item 7d) and animal characteristics (item 8a) were reported in more than 90% of the articles.
334 It is suggested that these items may be well reported due to being recognised and well taught
335 elements of study design and reporting, which most researchers are au fait with, or are enforced
336 during journal submission processes (ethical statement). For example, researchers often have a
337 good grounding in statistics and presentation of data through formal training, and are aware of
338 the importance of making clear the ‘knowledge gap’ and study rationale through their
339 experiences in grant writing and acquiring ethical approvals. However, other critical elements
340 in reducing bias were less well reported. In consideration of only some of the key reporting
341 attributes which make up the Landis 4 and the ARRIVE essential list, that of randomisation,
342 blinding, and sample-size estimation, in spite of the weight placed on their reporting by these
343 sets of guidelines, adherence was poor.

344 Although an essential element in reducing bias, randomisation (item 11a) was not mentioned
345 in 67% of articles. Even when randomisation was documented, there was rarely a description
346 of how the randomisation was conducted in order to produce truly comparable groups at
347 selection, or for detection of outcomes. This lack of randomisation can lead to significant risk
348 of introduction of bias. Complete reporting of the nature of randomisation is a key element of
349 appraisal when using the SYRCLE Risk of Bias Tool for quality assessment of animal studies
350 [9]. Other articles have reported similar findings, with Gulin et al., 2015 finding poorer
351 reporting than shown here with only 7 out of 44 (16%) publications reporting on randomization
352 in animal models for Chagas disease [5]. Randomization should also extend further than animal
353 allocation to groups, considering cage placement within rooms, and order of experimental
354 treatments or performance of assays.

355 Blinding similarly is crucial in terms of reducing bias, however a considerable percentage of
356 studies did not include, or only partially reported this criterion (item 6b; 28.9%, 65%
357 respectively). Blinding limits bias particularly when qualitative or subjective scoring of
358 experimental observations is performed. This is likely to be especially important in studies in
359 CICI which commonly include behavioural tests or assessment of clinical score where
360 subjectivity can be introduced. Furthermore, true blinding should be feasible in CICI animal
361 models, since the procedures involved tend not to lead to overt signs betraying the nature of
362 group allocation. Our findings reflect previous literature with a survey undertaken across a
363 range of pre-clinical research areas finding that blinding was not reported in 87% of cases [13].

364 Finally, whilst most authors reported the total number of animals used in each experimental
365 group, the least presented criterion in our investigation was a sample size calculation on how
366 this group size was derived. This went unreported in 96.9% of articles. This is perhaps unusual
367 given the clinical linkages inherent in studies in this area; reporting of a power analysis is
368 strictly enforced in human clinical trials and it might be assumed that researchers are engaged
369 in both human and animal studies making power calculations second nature to them.
370 Furthermore, applications for animal ethical approval often require the demonstration of a
371 power analysis hence this non-compliance is likely to be a true case of non-reporting rather
372 than non-consideration. Power calculations are essential to evaluation, statistical interpretation
373 and replication of findings [13]. They also serve an important ethical role, ensuring prevention
374 of unnecessary animal use, yet also ensuring that studies are not underpowered, hence animal
375 lives being wasted. There is considerable heterogeneity in preclinical CICI studies resulting
376 from aspects of experimental design, animal model choice, treatment procedures and nature of
377 behavioural testing [40]. This is in addition to the variability that arises ordinarily in the use of
378 preclinical animal models due to aspects such as husbandry, innate behaviour and the
379 environment [39]. Improving reporting on methods would be beneficial in reducing the
380 heterogeneity observed. Additionally, in relation to study attrition, differences in samples sizes
381 were commonly observed between the Methods section and Results. This would suggest the
382 occurrence of adverse events, yet these were infrequently reported (59.8% of articles). Adverse
383 event reporting is probably even more important in CICI studies compared to other pre-clinical
384 animal studies given the lack of standardisation of models. Full and accurate reporting is
385 imperative to avoid future use of animal models that have a high welfare cost or that are not
386 truly representative of the human condition.

387 The ARRIVE guidelines were introduced in 2010 in an attempt to address poor reporting,
388 especially of critical items [12]. However, our findings suggest that their introduction has had
389 little to no effect on the overall compliance in study reporting. Whilst, impacts of their
390 implementation are expected to take some time to become apparent, over the 10-year passage
391 of time since the guideline introduction this compliance trend has remained static. The
392 ARRIVE guidelines 2.0 were introduced in an attempt to counteract poor compliance rates
393 [25]. In the revised document, rewording to improve clarity and prioritization of certain criteria
394 into the 'Essential 10' has been performed. It remains to be seen what impact these amended
395 guidelines will have on compliance.

396 By its nature, we have only been able to make an assessment of reporting in this review.
397 Reporting guidelines can only go so far in addressing issues of reproducibility and
398 translatability, since many of the criteria require consideration in experimental planning and
399 conduct. It is the need for pre-consideration of these items that is considered in planning
400 guidelines such as the PREPARE (Planning Research and Experimental Procedures on
401 Animals: Recommendations for Excellence) guidelines [33]. It is of course quite possible that
402 researchers were using ARRIVE criteria but failing to report on these. In such cases, internal
403 validity of the study would be preserved but the incomplete reporting is still a considerable
404 threat to external validity or generalisation of the study findings to inform future work or allow
405 translation. Furthermore, previous study has suggested that inadequate reporting correlates
406 with overstatement of study outcomes [17, 36]. Use of both sets of guidelines will greatly
407 increase the likelihood of translation success and adherence to the 3R's principles [33].

408 Lack of compliance with the guidelines may arise due to a lack of awareness of their existence,
409 in which case there is a clear need for expanding the education of researchers, probably at the
410 experiment planning stage. Animal Ethics Committees may be best placed to drive this
411 education since they evaluate all protocols and have the ability to only allow protocols to
412 proceed that meet their criteria. Referral to ARRIVE criteria in ethics application forms will
413 not only ensure the criteria are addressed, but will serve to disseminate awareness of the
414 concepts. At the other end of the research process, stricter adherence to the ARRIVE guidelines
415 by journal editors and reviewers, along with the instigation of clear and unambiguous processes
416 associated with them, such as submission of an ARRIVE checklist, will assist in improving
417 reporting and provide a further educative function. This is especially appropriate given the
418 establishment of the international cancer and cognition task force (ICCTF) which aims to

419 identify future research directions and provide recommendations to help standardise
420 experimental design and procedures in animal models of CICI [41]. Improving the quality of
421 reporting will also aid the production of systematic reviews, the means through which the
422 weight of evidence in a particular area can be assessed [27].

423 **Conclusion**

424 The results from this systematic literature review reveal reporting of rodent models in relation
425 to the ARRIVE guidelines rarely met the full set of essential criteria. Given the lack of
426 standardisation of animal models in this research area, this is a particular threat to future
427 progress and translation of findings. Furthermore, the lack of improvement since the guidelines
428 introduction implies there is still a lack awareness or disbelief of the importance of this
429 reporting. In the short term, this finding may be best remedied through the actions of journal
430 editors and reviewers. In the longer term, the role that animal ethics committees play as the
431 enablers of animal-based research should be considered.

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433

434 **Supplementary Information**

435 Table S1; search strategy, citation details of included studies available on further request.

436 **Author contribution**

437 RPG designed the study, assisted in performing the literature search, data extraction, analysis
438 and interpretation and writing the manuscript; IS assisted in study design, data extraction,
439 analysis and interpretation and writing of the manuscript; CC, AW, EBS performed literature
440 search and screened articles by title and abstract; AW involved in the study design, supervision
441 of the development of the work, assisted in performing the literature search, data analysis and
442 interpretation and writing of the manuscript.

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447 **Conflict of interest**

448 The authors declare no competing interests.

449 **Ethics approval**

450 Not applicable.

451 **Consent to participate**

452 Not applicable.

453 **Consent for publication**

454 Not applicable.

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