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1 **Contamination in low-microbial biomass microbiome studies: issues and**
2 **recommendations**

3

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16

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18 biomass, Methodology

19

20 *Abstract*

21 Next-generation sequencing approaches in microbiome research have allowed surveys of
22 microbial communities, their genomes, and their functions with higher sensitivity than ever
23 before. However, this sensitivity is a double-edged sword, as these tools also efficiently
24 detect contaminant DNA and cross-contamination, which can confound the interpretations
25 of microbiome data. Therefore, there is urgent need to integrate key controls into
26 microbiome research to improve the integrity of microbiome studies. Here, we review how
27 contaminant DNA and cross-contamination arise within microbiome studies and discuss
28 their negative impacts, especially during the analysis of low-microbial biomass samples. We
29 then identify several key measures that researchers can implement to reduce the impacts of
30 contaminant DNA and cross-contamination during microbiome research. We put forth a set
31 of minimal experimental criteria to improve the validity of future low-microbial biomass

32 research called the **'RIDE'** checklist.

33

34 **Prospects and pitfalls of microbiome research**

35

36 The completion of the Human Microbiome Project in 2017[1] was a major landmark in
37 **microbiome** research. This research field has the potential to create novel therapies for
38 human disease, aid in environmental conservation, improve agricultural outputs,
39 understand our ancestor's lifestyles, and identify criminals in forensic casework, amongst
40 many other areas[2–6].

41

42 Amplification-based methods that target hypervariable regions (*e.g.* PCR amplification of
43 the 16S ribosomal RNA (rRNA) gene) account for the majority of studies exploring the
44 **microbiota** because of their speed and inexpensive cost[7]. Shotgun sequencing has also
45 become more popular in recent years due to decreasing DNA sequencing costs and the
46 ability to obtain both species-level taxonomic resolution and functional genomic
47 information. Both of these approaches rapidly illuminate uncultured microorganisms and
48 allow researchers to compare and contrast microbial communities in diverse environments,
49 including the human body, subglacial Antarctic lakes, NASA's space equipment, deep-sea
50 hydrothermal vents, extinct hominids, and coral reefs[5,8–12].

51

52 Despite their benefits, the molecular methods used to investigate microbial communities
53 have key limitations, including non-proportional target amplification and the inclusion of
54 **contamination**. While tools to address non-proportional target amplification have been
55 developed[13–15], strategies to limit contamination are less appreciated. Several studies
56 have documented the routine amplification of contamination and its impacts on biological

57 interpretations[16–24], but there is still no systematic requirement to examine or report
58 contamination within microbiota or microbiome (hereby referred to as microbiome) studies.
59 Here, we highlight how contamination has negatively impacted microbiome research,
60 especially when assessing **low-microbial biomass samples**, and provide several
61 recommendations to minimize the effects of contamination in future research.

62

63

64 **Contamination in Microbiome Studies**

65

66 Two key types of contamination can arise in microbiome studies: **contaminant DNA** and
67 **cross-contamination**. Contaminant DNA can originate from many sources despite the
68 utmost care in sample collection and preparation, including the sampling and laboratory
69 environments[25–27], researchers, plastic consumables[28], nucleic acid extraction
70 kits[5,19,23,24,29–32], laboratory reagents including PCR mastermixes[16–18,33–36], and
71 cross-contamination from other samples and sequencing runs[37,38]. To date, over 30
72 common contaminant taxa have been identified in **DNA extraction blank controls** and **no-**
73 **template controls** across multiple studies (Table 1). For example, Salter *et al.* found that
74 several contaminant taxa were shared in blank controls across multiple studies,
75 laboratories, and DNA extraction methods[19]. These widespread contaminant taxa appear
76 to originate from common sources (*e.g.* kit and reagent manufacturing, human commensals
77 on lab personnel, or thrive within laboratory environments). Despite the identification of
78 some common contaminants, the types and abundance of contaminant taxa vary between
79 extraction kits and laboratories[5,19,23,24] and even through time within the same
80 laboratory[39].

81 Cross-contamination is another challenge during microbiome sample processing and
82 includes the transfer of primary sample DNA, barcodes, or amplicons from neighboring wells
83 or tubes to create “batch effects”[40]. Cross-contamination can occur at multiple steps
84 throughout sample processing: sample DNA can be accidentally transferred during initial
85 sample processing and placement into tubes or plates[41], from aerosolization during
86 pipetting, or during plate cover removal[42]. Barcode cross-contamination may also occur
87 when incorrect neighboring barcodes ‘jump’ into sample wells or tubes — a phenomenon
88 known as ‘tag switching’[43]. Finally, cross-contamination can also occur on the sequencing
89 instrument from barcode sequencing errors, residual amplicons from past sequencing runs,
90 or “index hopping,” where some sequencing platforms mismatch indexing reads to
91 sequencing reads [44,45]. Overall, both contaminant DNA and cross-contamination are
92 dynamic and need to be consistently and routinely monitored.

93

94

95 **Sample Types Most Affected by Contamination**

96

97 The impacts of contaminant DNA and cross-contamination can vary between samples
98 according to their levels of microbial biomass. The microbial biomass in a sample can be
99 estimated by comparing the quantity of microbial DNA in samples (*e.g.* quantitative PCR of
100 16S rRNA amplicons) to that in DNA extraction blank controls[23]. Samples that typically
101 contain high-microbial biomass include feces or soil, and will usually contain substantially
102 more DNA than DNA extraction blank controls, while low-microbial biomass samples will
103 contain DNA levels similar to DNA extraction blank controls and include glacial ice, air, rocks,
104 the built environment, placenta, and blood. Lower levels of microbial DNA within low-

105 microbial biomass samples allow contaminant DNA and cross-contamination (*e.g.* from
106 high-biomass samples processed simultaneously) to easily outcompete and dominate the
107 biological signal within samples[19,23,24,46].

108

109

110 **How Contaminant DNA Influences Microbiome Studies**

111

112 The amount and composition of contaminant DNA and cross-contamination can vary
113 through time and location, generating signals within low-microbial biomass samples that
114 can be easily perceived as biological; this concept is illustrated in Figure 1. Numerous studies
115 have described contaminant DNA and demonstrated how it can skew results, including
116 those in published low-microbial biomass studies[19,23,24]. For example, >95% of the
117 taxonomic composition in a *Salmonella bongori* culture diluted to ~1,000 cells was revealed
118 to be contamination using both amplicon and shotgun DNA sequencing[19]. The same
119 authors also found that infant nasopharyngeal swabs clustered according to the DNA
120 extraction kit lot number, demonstrating that contaminant taxa introduced during DNA
121 extraction were driving the observed signal[19]. A comparison of low-microbial biomass
122 placental samples with blank controls, saliva, and vaginal swabs revealed that 16S rRNA
123 gene sequences in placental samples could not be distinguished from those in blank
124 controls[23]. Lastly, an analysis of peripheral blood and submucosal tissue samples
125 demonstrated that 99% and 95% of the respective identified sequences corresponded to
126 contaminant taxa[24]. The impacts of contaminant DNA and cross-contamination are not
127 limited to these ‘whistle-blower’ studies and have likely impacted each and every low-
128 microbial biomass study published to date. Even if controls and low-microbial biomass

129 samples can be distinguished using beta-diversity analyses (*e.g.* a PCoA plot of unweighted
130 UniFrac distances), measures of alpha (within-sample) diversity and differential abundance
131 can be confounded in microbiome studies due to contaminant DNA and cross-
132 contamination. Together, these findings demonstrate that contaminant DNA and cross-
133 contamination can have a severe impact on low-microbial biomass microbiota studies and
134 will continue to pose a demonstrable threat to the integrity of the field if left unaddressed.

135

136

137 **How Has DNA Contamination Already Impacted the Microbiome Research Field?**

138

139 The failure to include controls to assess DNA contaminants and cross-contamination has
140 resulted in several controversial studies. For example, a recent study identified a distinct
141 microbial community within human placenta without publishing appropriate controls[47].
142 Bacterial DNA contribution from maternal blood was raised as an issue[48], and no evidence
143 for a distinct placental microbiota was found when placental samples were compared with
144 blank controls in a follow-up study[23]. A recent, comprehensive review concluded that
145 current evidence does not support the notion that the human placenta harbors a distinct
146 microbiota[49]. Nevertheless, the initial publication[47] spurred several subsequent
147 studies[50–53] on the ‘placental microbiota’; all lacked appropriate controls and further
148 perpetuated the notion that the placenta harbors a distinct microbiota. In addition to the
149 placenta, there has been a recent surge of other low-microbial biomass microbiota studies,
150 especially in clinical medicine, and include investigations of the microbial components of
151 brain tissue[54], breast tissue[55,56], nipple aspirate fluid[57], intrauterine samples[58], and
152 seminal fluid[59]. None of these studies included appropriate controls or an assessment of

153 contaminant taxa and cross-contamination in their findings. Unsurprisingly, each of these
154 studies identified common contaminant taxa from commercial extraction kits and molecular
155 reagents as the taxa driving the observed biological signals. In addition, the studies failed to
156 examine the limit of detection using their methodology – the critical first step when
157 exploring low-microbial biomass communities. While it is possible that these are true
158 biological signals, it is also possible that they arise from contaminant DNA, and additional
159 experiments should be included to determine if such microbial DNA originates from living
160 cells as opposed to contaminant DNA[60]. Together, these studies highlight the desperate
161 need for the field to recognize and adhere to a minimum set of experimental criteria to
162 ensure valid and reproducible findings.

163

164

165 **Mitigating the Impacts of Contaminant DNA**

166

167 To control for contaminant DNA and cross-contamination in low-microbial biomass
168 microbiome studies, there are several measures that need to be taken to 1.) reduce all types
169 of contamination and experimental bias, 2.) monitor and identify contaminant sources, and
170 3.) recognize and mitigate the effects of contaminant DNA and cross-contamination during
171 analysis. In chronological order of how a study would be performed, we provide suggestions
172 for each approach, and put forth minimum guidelines (**'RIDE'** checklist; Box 1.) to help
173 researchers, editors, and reviewers manage the effects of contamination in future
174 microbiome research (**Box 1**).

175

176 1.) *Reduce experimental bias and contamination during sampling and processing.*

177 Simple measures during sample collection and processing can be used to limit the
178 introduction of contaminant DNA and cross-contamination and minimize their downstream
179 effects (Figure 2). First, randomizing samples and treatments (*i.e.* collecting or processing
180 samples from different treatments together) is an important experimental design
181 consideration to prevent erroneous conclusions arising from batch effects or day-to-day
182 variation of contaminant DNA (Figure 1). In addition, the same researcher, reagents, robots,
183 and equipment should be used to process all of the samples in a specific study, if possible.
184 To specifically avoid contaminant DNA, there are several key considerations. Samples should
185 be collected in the cleanest available environment (*e.g.* inside a ship rather than on deck; in
186 a wind protected area; etc), and personnel should wear protective clothing and equipment
187 to cover all exposed human surfaces if possible (*i.e.* lab coats or cleanroom suits, face
188 masks, hair nets, sleeves, and clean disposable gloves). Ideally, researchers should also
189 process the samples in an isolated, low-contaminant, controlled environment (*e.g.* still-air
190 cabinet or laminar-flow hood) where surfaces and equipment are treated with a $\geq 3\%$
191 sodium hypochlorite solution and ultraviolet radiation to minimize and fragment
192 environmental contaminant DNA[61]. Samples should be processed using reagents, lab
193 ware, and sampling equipment that have the lowest levels of contamination possible. As
194 consumables labeled 'DNA free' typically contain degraded microbial DNA[36], consumables
195 with hard surfaces, such as plastic tubes and pipettes, can be decontaminated using
196 ethylene oxide treatment[28], and reagents can be decontaminated by UV treatment that is
197 optimized for each reagent (*i.e.* UV irradiation can destroy enzyme function)[62]. Ideally, a
198 physically isolated workstation should also be used to aliquot stock reagents to limit
199 contamination[63]. To minimize cross-contamination, there are additional steps to consider.
200 Library preparation should be performed in a separate room from DNA extraction to

201 minimize contamination from highly-amplified products (*i.e.* pre-PCR work should be
202 physically isolated from post-PCR work). Filter tips and low-aerosol pipettes can also help in
203 reducing cross-contamination[64]. The use of non-redundant dual indexing is strongly
204 recommended to prevent index swapping during sequencing [65,66]. It is also important to
205 perform the recommended bleach and maintenance washes in the DNA sequencer between
206 sequencing runs, as this can reduce run-to-run cross-contamination in Illumina MiSeq
207 studies by 100-fold (from 0.01% to 0.0001%) [67].

208

209 *Minimum guidelines:* Different sample groups or treatments should be randomized and not
210 processed separately. Researchers should wear disposable lab gloves, face masks, and avoid
211 exposed skin to reduce the introduction of contaminant DNA into the samples. As many
212 procedures as possible (*e.g.* sample transfer, DNA extraction, library preparation, and
213 sequencing) should be performed in a cleaned, isolated working environment with
214 appropriately treated equipment and consumables.

215

216

217 2.) *Include controls from sampling to sequencing.*

218 Several types of controls should be included in every analysis to monitor contaminant DNA
219 and assess the levels of cross-contamination between samples. These controls include both
220 negative controls to monitor background levels of contaminant DNA: (1) sampling blank
221 controls, (2) DNA extraction blank controls, and (3) no-template amplification controls. In
222 addition, two types of positive controls across a titration (variable cellular or gDNA input)
223 can be used to determine the limit of detection and ensure cross-contamination does not

224 drive the results of the study: (4) DNA extraction positive controls and (5) amplification
225 positive controls.

226

227 *Negative controls*

228 Three types of negative controls are minimally required to allow adequate monitoring of
229 contaminants throughout sample handling and processing and provide the ability to detect
230 when and how contaminants are introduced into biological samples. At least one of each
231 type of negative control must be included per sampling, extraction, and amplification batch.
232 Although we would recommend that two negative controls should be used and placed
233 strategically to monitor contaminants from the start to the end of the process (*e.g.* the first
234 tube should be negative control #1, the last tube should be negative control #2). For larger
235 studies using robotic systems with plates, 8 of each negative control type should be
236 minimally required per study [68].

237 (1) **Sampling blank controls** allow for detection of contaminant DNA introduced during the
238 sampling procedure, including items used to collect the sample, such as swabs, gauze, or
239 drills, and any reagents or preservatives used to store or transport the samples (*e.g.* media,
240 alcohol, or RNA stabilizer). Material analyzed in sampling blanks should be collected in the
241 same room and at the same time as biological samples and should undergo the same
242 laboratory treatment as the biological samples, from collection to sequencing. While
243 sampling controls will contain DNA from the extraction process, it will allow the researcher
244 to discern which contaminants are specific to the sampling location and equipment versus
245 the laboratory.

246 (2) **DNA extraction blank controls** monitor the contaminant DNA content in extraction kits,
247 molecular reagents, and the laboratory environment through the DNA extraction process

248 and, as above, should be processed alongside the biological samples from extraction to
249 sequencing.

250 (3) **No-template amplification controls** can monitor contaminant DNA present in reagents
251 and the laboratory environment during library preparation and sequencing. All negative
252 controls provide a semi-quantitative estimate of background contaminants and allow
253 researchers to identify contaminants that can be used in downstream subtractive analyses.
254 Finally, it should be noted that negative controls can contain too little DNA to be effectively
255 processed. In these cases, the use of known carrier DNA in blank controls can help to
256 efficiently amplify contaminants[69].

257

258 *Positive Controls*

259 Two types of positive controls can be included to determine the limit of detection and
260 provide insight into the effects of cross-contamination during extraction, library
261 preparation, and sequencing.

262 (4) **DNA extraction positive controls** monitor DNA extraction efficiency, determine the limit
263 of detection, and examine levels of cross-contamination during DNA extraction. To include a
264 DNA extraction positive control, a serial dilution of a known cell type(s) (*e.g.* 1, 10, 100,
265 1000, 10,000, 100,000 cells) should be extracted alongside samples and span the expected
266 limit of detection of the assay (see Katharoseq below)[68]. Ideally, researchers should use a
267 commercially available mixed community, such as the Zymo mock community (Zymo,
268 D6300), as this enables standardization across different laboratories. Researchers can also
269 consider including a range of positive titration spike-ins into liquid samples, such as blood,
270 urine, or mucus, to evaluate the efficiency of extraction and the limit of detection, which is
271 important as many sample types have inhibitors or chemicals that can increase the limit of

272 detection. The bottom line is to use a positive control of known concentration that is
273 relevant to your study and experimental questions.

274 (5) The last recommended positive control is the **positive amplification control**, which is
275 again a titration of DNA from known organism type(s) to be processed solely during the
276 library preparation stage. This control enables a detection limit to be established for library
277 preparation. Critically, both positive control types can be used to calculate the limit of
278 detection within the laboratory techniques used and the levels of cross-contamination using
279 novel bioinformatic approaches [68]. For example, Katharoseq utilizes differences in
280 amplification efficiencies of true positives compared to negatives to mathematically
281 determine a limit of detection by calculating cutoff scores to guide sample exclusion. In
282 doing so, cross-contamination can also be evaluated, as positive controls from DNA
283 extractions should be different from those used in library preparation.

284

285 Control samples often produce libraries of lower quantity and quality, but this should not
286 prevent the control samples from being sequenced. Libraries should be quantified (*i.e.*
287 using a PicoGreen or Qubit assay for amplicon studies or a TapeStation or BioAnalyzer for
288 shotgun sequencing) and pooled at equal molarity (*e.g.* X ng per observed fragment lengths
289 per sample). If amplified control samples contain significantly lower amounts of DNA
290 compared to biological samples, they should be included in sequencing pools by pooling the
291 controls at a certain maximum volume (*e.g.* 20 μ l of each control). In addition, amplified
292 biological samples with low amounts of DNA can be pooled at this same maximum volume
293 as controls (*e.g.* 20 μ l)[68]. Alternatively, all samples and controls can be pooled at equal
294 volumes; however, this approach requires deeper sequencing because the higher-biomass
295 samples will dominate the DNA sequencing effort. While not ideal, another option is to

296 increase the number of PCR cycles for negative controls to gain more DNA for sequencing.
297 For highly contentious sample types and claims (*e.g.* placenta), independent replication in
298 another laboratory and the use of non-DNA sequencing approaches (*e.g.* FISH) for
299 verification are highly recommended.

300

301 *Minimum guidelines:* One of each negative control type (sampling blank control, DNA
302 extraction blank control, and no-template amplification control) must be included for each
303 batch of samples, or a minimum of 8 negative controls per type per 96-well plate for studies
304 using robotic systems. Controls must be processed alongside samples to account for
305 contamination and should not be processed separately.

306

307

308 3.) *Critically assess and report contributions of contamination during analysis.*

309 The impacts of contaminant taxa must be assessed in the final analysis and interpretation of
310 the data. Three different strategies currently exist to assess the impacts of contamination in
311 microbiome datasets: (1) compare controls to biological samples; (2) subtract contaminants
312 from biological samples; and (3) use predictive modeling to identify putative contaminants.
313 Each method varies in its stringency and application.

314

315 (1) Comparisons of biological samples to the controls can be used to assess the level of
316 contamination and the types of contaminant taxa. The level of contamination (*i.e.*
317 background levels of contaminant DNA) must be determined per batch of samples, as level
318 of contaminant DNA can vary based on different methodologies and through
319 time[5,19,23,24,39]. Quantitative PCR (qPCR) can be used to determine the level of

320 contamination by comparing abundances in negative controls to biological samples [23].
321 Alternatively, we recommend that positive controls coupled with the limit of detection
322 approach can be used to calculate a sample exclusion value (*e.g.* $K_{1/2}$ value)[68], and
323 samples with fewer reads than the exclusion value should be discarded [68]. Taxa detected
324 in negative controls must be reported. This is especially important to ensure that the
325 significant differences in taxa abundances or composition between sample types or
326 treatments are not driven by contaminant taxa. We provide a table containing taxa that
327 have been detected in the negative controls from two or more studies (Table 1). While we
328 do not recommend that researchers throw away any significant result driven by the taxa in
329 this table, researchers and reviewers should be extra cautious of such findings.

330

331 (2) Contaminant taxa detected in negative controls can also be subtracted (filtered) from
332 biological samples during analysis. One approach is to remove all taxa found within negative
333 controls from the biological samples. This is an extremely conservative approach that can
334 result in the loss of biological signal due to cross-contamination of DNA from biological
335 samples into negative controls. In addition, taxa closely related to common contaminant
336 taxa can be truly present in a biological specimen (*e.g.* *Pseudomonas*), and would be
337 removed by this approach. We would instead recommend the use of more nuanced filtering
338 approaches that have been developed to help in situations where cross-contamination is
339 high or when taxa closely related to common DNA contaminants are thought to be present
340 in biological samples [70–73]. Finally, should contaminant taxa still be driving biological
341 signal after filtering, they should be verified using a different approach such as an effectively
342 used and validated Fluorescent In-Situ Hybridization (FISH) assay [74,75]

343

344 (3) Bioinformatic modeling has been developed to estimate the source and proportions of
345 contaminant taxa within biological samples. For example, SourceTracker analysis uses
346 Bayesian modeling to estimate the proportion of potential contaminant taxa from a data
347 set[76]. To do this, the blank controls can serve as contaminant ‘sources’ and the biological
348 samples as ‘sinks’ to estimate the origin and abundance of contaminant taxa within
349 biological samples. Subsequently, the relative contributions of contaminant DNA within the
350 samples can be factored into downstream analysis and data interpretation. However, it
351 should be stressed that sufficient cross-contamination can confound SourceTracker analysis.

352

353 *Minimum guidelines:* The level of contamination must be determined for each batch of
354 samples. Biological samples must be compared to negative controls and taxa identified in
355 negative controls must be reported. The approach taken to identify and minimize the
356 effects of contaminant DNA during analysis should be clearly reported to enhance
357 reproducibility and allow such approaches to be critically evaluated by others.

358

359

360 **Concluding Remarks**

361

362 Microbiome research holds great promise for multiple fields, but methodological pitfalls can
363 easily undermine the progress and reputation of this developing research area. Therefore,
364 these pitfalls must be recognized and explicitly addressed at each phase of the scientific
365 process by researchers, reviewers, and editors alike. Here, we present the ‘**RIDE**’ checklist
366 for contaminant assessment to be applied across a wide-range of disciplines interested in
367 exploring the microbial communities in low-microbial biomass samples (see **Box 1** for our

368 'RIDE' minimum standards checklist). Failure to take these caveats into account is likely to
369 waste valuable time and money and erode the credibility of microbiome research. The
370 current situation is similar in many ways to the methodological issues in ancient DNA
371 research recognized over 20 years ago. A series of high-profile publications based on PCR
372 amplification of short sequences were used to support remarkable findings, including the
373 reported recovery of DNA more than 40 million years old[77–79] – well beyond the
374 theoretical limit of DNA survival of around one million years[80]. Although these findings
375 were heavily criticized by other ancient DNA researchers[81–85] and are now recognized as
376 erroneous, these publications nevertheless damaged the credibility of the ancient DNA field.
377 As a direct result, a set of ancient DNA authentication criteria was formulated and widely
378 adopted[63]. These standards, improved techniques, and greater attention to the issue of
379 contaminant DNA dramatically improved the credibility of ancient DNA research. In
380 microbiome research, similar standards need to be established to improve scientific
381 integrity and secure the credibility of such research. It is important to note that the
382 minimum set of guidelines and the 'RIDE' checklist that we propose (**Box 1**) will not
383 guarantee that all contamination can be accounted for or removed, nor will it provide a
384 solution for every contaminant problem. Complementary approaches for verifying results
385 such as replication in independent laboratories and using non-DNA sequencing techniques
386 such as FISH should also be considered. As new methods and analyses for microbiome
387 analysis are also developed, novel solutions to account for contaminant DNA and cross-
388 contamination will need also to be established (see Outstanding Questions). In the
389 meantime, it is imperative that low-microbial biomass research generates sufficient control
390 data and that researchers develop and maintain a critical mindset when dealing with low-
391 microbial biomass microbiome samples. In this regard, we hope that the guidelines

392 introduced in this article will help authors, reviewers, and editors monitor and protect the
393 future of the microbiome field.

394

395

396 ***Declarations***

397

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405

406 *Competing interests*

407 The authors declare that they have no competing interests.

408

409

410

411 **Glossary:**

412

413 **Contamination:** An umbrella term encompassing both contaminant DNA and cross-
414 contamination (see below).

415

416 **Contaminant DNA:** DNA from sources other than the sample(s) under study (*e.g.* DNA from
417 reagents or researchers performing laboratory work).

418

419 **Cross-contamination:** DNA exchange between samples within a study (*e.g.* accidental
420 movement of DNA between different sample tubes during DNA extraction).

421

422 **DNA extraction blank control:** A negative control consisting of an empty tube/well that is
423 processed alongside biological samples during DNA extraction and allows for the detection
424 of contaminant DNA introduced during DNA extraction.

425

426 **DNA extraction positive control:** A positive control consisting of serially diluted cells of
427 known type(s) that is processed alongside biological samples during DNA extraction and
428 allows for determination of the limit of detection, monitoring of extraction efficiency, and
429 quantification of cross-contamination during DNA extraction.

430

431 **Low-microbial biomass samples:** A biological sample that contains similar quantities of
432 target microbial DNA in the sample compared to negative controls (*e.g.* $\leq 10,000$ microbial
433 cells — [19]).

434

435 **Microbiome:** The microorganisms of a specific habitat, their genomes, and the surrounding
436 environmental conditions[86].

437

438 **Microbiota:** The assemblage of microorganisms present in a defined environment[86].

439

440 **No-template amplification control:** A negative control made by preparing an amplification
441 or library preparation reaction without input template (*i.e.* sample DNA) that is processed
442 alongside biological samples and allows for the detection of contaminant DNA during library
443 preparation/PCR amplification.

444

445 **Positive amplification control:** A positive control consisting of serially diluted DNA from
446 known organism type(s) that are processed alongside biological samples during
447 amplification or library preparation and allows for determination of the limit of detection,
448 monitoring of library preparation efficiency, and quantification of cross-contamination
449 during library preparation.

450

451 **RIDE:** Report methodology, Include controls, Determine the level of contamination, and
452 Explore the impacts of contamination in downstream analysis. Minimum standards checklist
453 for low-microbial biomass microbiome studies.

454

455 **Sampling blank control:** A negative control consisting of an empty tube that is processed
456 alongside the collection of biological samples. Allows for the detection of contaminant DNA
457 introduced during the sampling procedure (*e.g.* airborne, swabs, preservatives).

Genus	Reference
Actinomyces	[23][24][39]
Corynebacterium	[19][24][68]
Arthrobacter	[19][24]
Rothia	[23][24]
Propionibacterium	[19][23][24][68]
Atopobium	[23][24]
Sediminibacterium	[23][39]
Porphyromonas	[23][24]
Prevotella	[23][24][68]
Chryseobacterium	[19][39]
Capnocytophaga	[23][24]
Chryseobacterium	[19][24]
Flavobacterium	[19][21][23][39]
Pedobacter	[19][39]
unclassifiedTM7	[23][24]
Bacillus	[19][24][39]
Geobacillus	[24][39]
Brevibacillus	[19][24]
Paenibacillus	[19][24][39]
Staphylococcus	[24][39][68]
Abiotrophia	[19][24]
Granulicatella	[23][24]
Enterococcus	[23][24][39]
Lactobacillus	[23][24][39]
Streptococcus	[19][23][24][39][68]
Clostridium	[24][39]
Coprococcus	[23][24]
Anaerococcus	[23][24]
Dialister	[23][24]
Megasphaera	[23][24]
Veillonella	[23][24]
Fusobacterium	[23][24]
Leptotrichia	[23][24]
Brevundimonas	[18][19]
Afipia	[19][24]
Bradyrhizobium	[19][21][24][39]
Devosia	[19][39]
Methylobacterium	[18][19][23][39][68]
Mesorhizobium	[19][39]
Phyllobacterium	[19][24]
Rhizobium	[18][19][21]
Methylobacterium	[19][24]

Phyllobacterium	[19][24]
Roseomonas	[19][24]
Novosphingobium	[19][39]
Sphingobium	[19][39]
Sphingomonas	[18][19][21][39]
Achromobacter	[21][39]
Burkholderia	[19][21][24][39]
Acidovorax	[18][19]
Comamonas	[18][19][24][39]
Curvibacter	[19][24]
Pelomonas	[19][24][68]
Cupriavidus	[18][19][39]
Duganella	[16][19]
Herbaspirillum	[16][18][19][24]
Janthinobacterium	[19][24]
Massilia	[18][19][24]
Oxalobacter	[19][24]
Ralstonia	[17][18][19][21][39]
Leptothrix	[16][19]
kingella	[19][24]
Neisseria	[23][24]
Escherichia	[16][18][19][21][24][68]
Haemophilus	[23][24][68]
Acinetobacter	[16][18][19][23][39][68]
Enhydrobacter	[19][24][39]
Pseudomonas	[17][19][21][24][39][68]
Stenotrophomonas	[16][17][18][19][21][24][39]
Xanthomonas	[17][19]

459

460 **Boxes and Figures:**

461

462 **Table 1: Taxa previously identified in negative controls from multiple studies**

463 Taxa identified in the negative controls of more than one study are listed. Taxa listed in this
464 table that are found to be driving significant results in a study should be treated with extra
465 skepticism and evidence should be provided by researchers to prove that such findings are
466 not due to contamination.

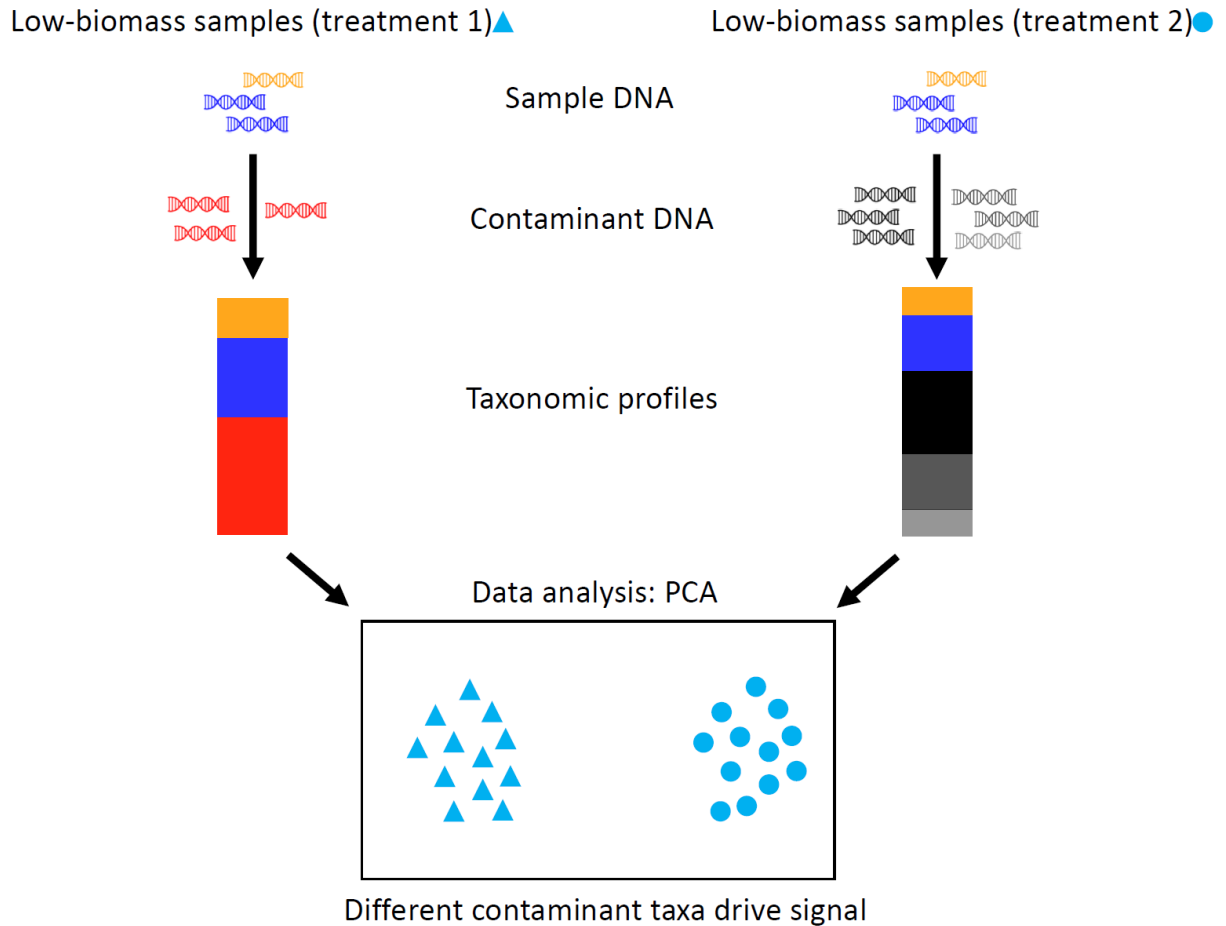
467

468

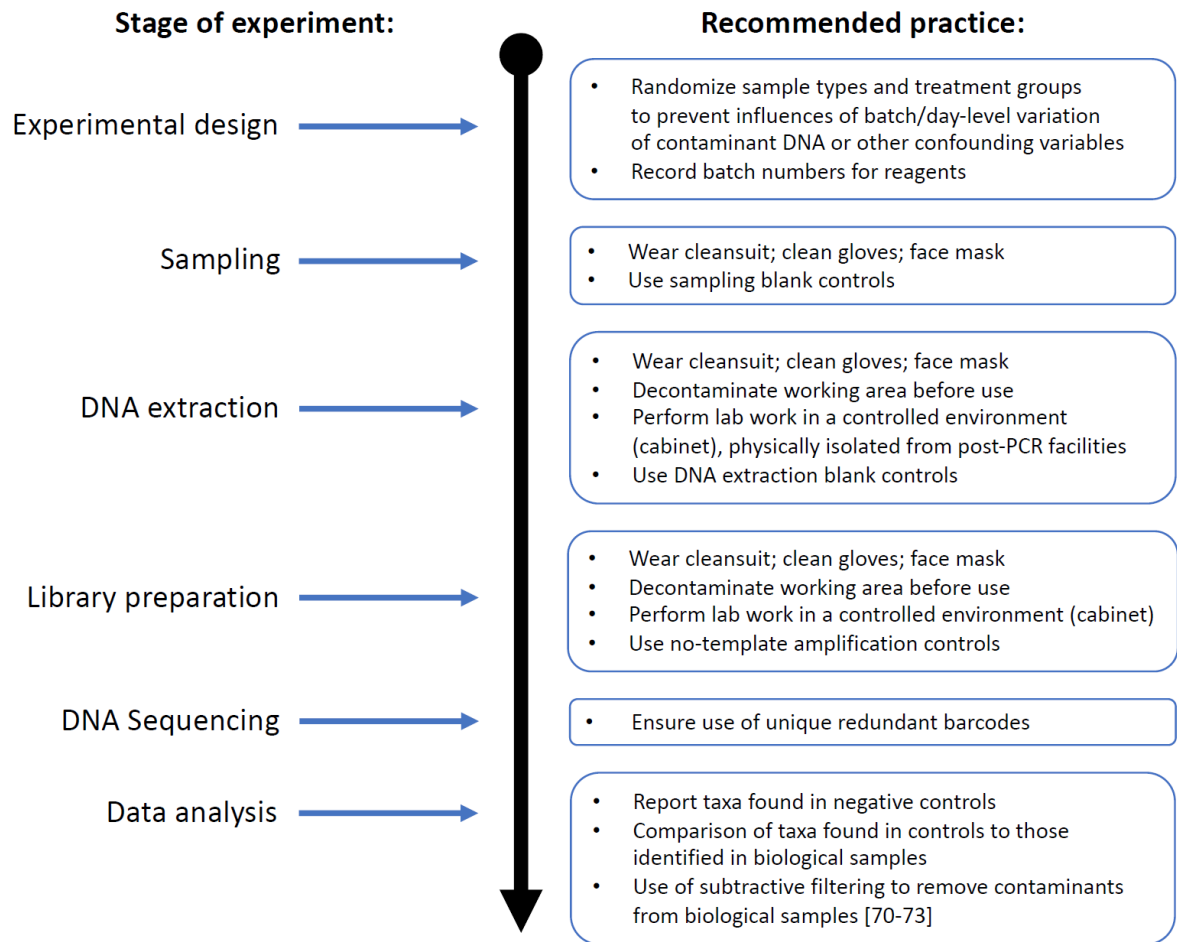
469 **Box 1: For authors, reviewers, and editors, the ‘RIDE’ minimum standards checklist for
470 performing/reviewing low-microbial biomass microbiome studies.**

- 471 ✓ Report experimental design and approaches used to reduce and assess the
472 contributions of contamination.
- 473 ✓ Include controls to assess contaminant DNA. One of each type of negative control
474 (sampling blanks, DNA extraction blanks, and no-template amplification) must be
475 included per sampling, extraction, or amplification batch.

- 476 ✓ Determine the level of contamination by comparing biological samples to controls.
- 477 ✓ Explore contaminant taxa within each study and report their impacts on the
- 478 interpretation of biological samples.
- 479
- 480



481
 482 **Figure 1: Illustration of how contaminant DNA can influence interpretations of low-**
 483 **microbial biomass microbiome data.**
 484 Both treatment groups (triangle vs. circle) of low-microbial biomass samples are not
 485 different in microbial composition (sample DNA colors are same, blue and orange).
 486 However, because treatment groups were processed on separate days, differences in the
 487 types and abundances of contaminant DNA (in this case, red vs. black) drive the signal,
 488 leading to the conclusion that the treatment groups have different microbial compositions.
 489 Proper randomization of sample collection/processing would eliminate this artifact.
 490 Abbreviation: PCA, principal component analysis.
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Figure 2: Flowchart of methods to minimize influence of contaminant DNA in low-microbial biomass samples. Measures to reduce experimental bias and the introduction of contaminant DNA in low-microbial biomass microbiome studies.

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