



Characterisation of End-to-End Performance for Web Based File Server Repositories

Manoel Eduardo Mascarenhas da Veiga Alves

Thesis submitted for the degree of
MASTER'S OF ENGINEERING SCIENCE

Centre for Telecommunications Information Networking (CTIN)
Department of Electrical and Electronic Engineering
Faculty of Engineering



JANUARY 2001

ABSTRACT	V
DECLARATION	VIII
ACKNOWLEDGMENTS	IX
LIST OF PUBLICATIONS	XI
LIST OF ABBREVIATIONS	XII
LIST OF FIGURES	XVI
LIST OF TABLES	XVIII

CHAPTER 1: INTRODUCTION..... **1**

1.1 INTERNET DEVELOPMENT: A SUMMARY	1
1.2 OVERVIEW OF INTERNET APPLICATIONS & REASONS FOR CHOOSING FILE DOWLOAD APPLICATION.....	3
1.3 THESIS OVERVIEW AND OBJECTIVES	6

**CHAPTER 2: INTERNET PERFORMANCE MEASUREMENT:
METHODOLOGIES & STATE OF THE ART** **8**

2.1 REASONS FOR DEPLOYMENT OF INTERNET PERFORMANCE MEASUREMENTS	8
2.2 PARAMETERS FOR MEASURING NETWORK PERFORMANCE.....	11
2.2.1 Aggregated Traffic	11
2.2.2 Packet Loss.....	11
2.2.3 Packet Delay.....	12
2.2.4 Path Behaviour	12
2.2.5 Throughput.....	13
2.3 METHODOLOGIES FOR MEASURING PERFORMANCE.....	14
2.3.1 Passive Measurement	14
2.3.1.1 <i>Definition & Overview</i>	14
2.3.1.2 <i>Related Work</i>	15
2.3.2 Active Measurement	19
2.3.2.1 <i>Definition & Overview</i>	19
2.3.2.2 <i>Active Infrastructures: An Overview</i>	20
2.3.2.3 <i>Related Work</i>	22
2.3.2.4 <i>Deployment Difficulties</i>	28
2.3.3 Control Monitoring	31
2.3.3.1 <i>Routing Approach</i>	31
2.3.3.1.1 Overview.....	31
2.3.3.1.2 Related Work	33

2.3.3.2 Management Approach	37
2.3.3.2.1 Overview	37
2.3.3.2.2 Related Work	38
2.4 SUMMARY	38

CHAPTER 3: POTENTIAL BOTTLENECKS FOR INTERNET CONTENT DELIVERY 40

3.1 OBJETIVES & OVERVIEW	40
3.2 PHYSICAL LAYER	42
3.3 DATA LINK LAYER	44
3.4 INTERNET LAYER (NETWORK LAYER)	47
3.5 TRANSPORT LAYER	51
3.5.1 Path Maximum Transmission Unit Discovery.....	51
3.5.2 Bandwidth Delay Product and Long Fat Pipes	53
3.6 APPLICATION LAYER.....	57
3.7 SUMMARY	61

CHAPTER 4: METHODOLOGY 62

4.1. CLIENT-SERVER INTERNET CONNECTIVITY MODEL.....	63
4.2. THROUGHPUT, PATH INSTABILITY AND MINIMUM RTT DELAY MODELS .65	
4.2.1 Throughput Model	65
4.2.2 Path Instability Model	66
4.2.3 Minimum RTT Delay Model	67
4.3. DATA COLLECTION PROCEDURES & ASSUMPTIONS	69
4.3.1...4.3.7 A Number of Data Collection Procedures & Assumptions	69/77
4.3.8 Software Supporting The Experiment.....	78
4.3.8.1. <i>Throughput Analysis Utility</i>	78
4.3.8.2 <i>Path Analysis Utility</i>	80
4.3.8.2.1 <i>Path Instability Analysis</i>	82
4.3.8.2.2 <i>End-To-End Minimum RTT Analysis</i>	84
4.4. DATA ANALYSIS METHODOLOGY	85
4.4.1. Throughput Statistics	86
4.5. SUMMARY	87

CHAPTER 5: RESULTS & DISCUSSION 89

5.1. TYPICAL VIRTUAL PATHS: CLASSIFICATION & DISCUSSION.....	91
5.1.1 South Australia.....	91
5.1.2 Victoria, Australia.....	92
5.1.3 USA West Coast	93
5.1.4 USA East Coast.....	94
5.1.5 Hong Kong.....	95

5.1.6 Israel.....	96
5.1.7 Germany.....	97
5.1.8 England.....	97
5.1.9 Argentina.....	97
5.1.9.1 <i>First Fluttered Path</i>	98
5.1.9.2 <i>Second Fluttered Path</i>	98
5.1.10 Brazil.....	98
5.1.11 South Africa.....	99
5.1.12 Zimbabwe.....	100
5.2. THROUGHPUT: RESULTS & DISCUSSION.....	101
5.2.1 South Australia.....	101
5.2.2 Victoria, Australia.....	102
5.2.3 USA West Coast.....	104
5.2.4 USA East Coast.....	106
5.2.5 Hong Kong.....	107
5.2.6 Israel.....	109
5.2.7 Germany.....	111
5.2.8 England.....	112
5.2.9 Argentina, Brazil, South Africa & Zimbabwe.....	112
5.3. PATH INSTABILITY.....	113
5.3.1 Path Instability Discussion.....	114
5.4. PATH CHARACTERISTICS BASED ON THE MINIMUM RTT ANALYSIS.....	116
5.4.1 South Australia.....	117
5.4.2 Victoria, Australia.....	117
5.4.3 USA West Coast.....	117
5.4.4 USA East Coast.....	118
5.4.5 Hong Kong.....	118
5.4.6 Israel.....	119
5.4.7 Germany.....	120
5.4.8 England.....	120
5.4.9 Argentina.....	120
5.4.10 Brazil.....	121
5.4.11 South Africa.....	121
5.4.12 Zimbabwe.....	122
5.5. SUMMARY.....	122

CHAPTER 6: CONCLUSIONS & FURTHER WORK.....124

6.1. CONCLUSIONS.....	124
6.2. FURTHER WORK.....	126

REFERENCES.....128

APPENDIX A - DATASET TABLES.....136

Abstract

The Internet has evolved dramatically in the past few years as result of developments in internetworking/telecommunications technologies and increasing market demand for interactive services. While increasing service diversity is noticeable through steady competition for developing and delivering multimedia content, the Internet infrastructure as a whole has evolved in a rapid and almost “organic” fashion, resulting in an enormous mesh of hosts, networks and network peering points – a complex and fault susceptible environment. In addition, the lack of Quality of Service (QoS) standards and the lack of consensus on what is an adequate QoS level has led to an atypical situation where customers are serviced in a best-effort basis, without clear guarantees of effective performance.

In the face of these performance issues and the assortment of application service level requirements, several research initiatives have gained attention in the last few years. The main initiatives currently being debated are:

- Backbone improvements: bandwidth maximisation, development of new resource management/measurement techniques and tools, Mbone (Multicast Backbone), etc;
- Protocol improvements: IPV6, RSVP, Tag Switch, Jumbo-frames, TCP for high performance networks, routing protocols, etc;
- Data compression techniques: development of new compression methods for encapsulating video, audio and images over IP;
- New access technologies: Fibre wavelength re-use via DWDM (Dense Wavelength Division Multiplexing), Digital Subscriber Line (xDSL), Hybrid Fibre Coax (HFC) platforms, Local Multipoint Distribution Service (LMDS) systems, Multichannel Multipoint Distribution Service (MMDS) systems and high bandwidth satellite access;

- Economic drivers: new mechanisms for charging Internet traffic due to the inefficiency of traditional PSTN charging methods for measuring Internet usage and unfairness of peering agreements.

This report investigates the behaviour of TCP bulk file transfer application sessions in a broadband access environment. The focus is on the development of an end-to-end throughput measurement tool for evaluating the effects of *both* internetworking topology *and* application server traffic demand *over* throughput for a broadband user while downloading files from remote Web based file server repositories. In addition, the decision to carry out this research was influenced by three other reasons:

- Lack of standards for diagnosing, evaluating and correcting performance problems in a particular network;
- TCP packets are responsible for 90 to 95 percent of all Internet traffic [15];
- The importance of file downloading has increased together with the development of new compression formats because multimedia content such as compressed music, compressed video and general data can be widely found on the Web. Furthermore, NAPSTER¹ and other collaborative download environments have increased the popularity and demand for downloading files among a community of Internet users [9,10,11]. The traffic impact of NAPSTER has been such that some academic and commercial organisations are now prohibiting its use [12,13].

In terms of Internet analysis modelling, this research introduces some concepts for evaluating network behaviour: a path instability parameter (ϵ) for analysing different TCP connections; a minimum RTT delay and a minimum typical path for estimating path characteristics between a client and application servers.

The main findings of this research are: a strong correlation was observed between throughput performance and traffic demand in *both* the Application Server *and*

interconnecting networks; some cyclical throughput behaviour suggesting a *high* influence of the US backbone on throughput performance for download sessions originating from Application Servers located outside Australia; throughput performance depends not only on technical drivers *but also* on economic drivers such as the inter-network pricing regime; for some downloading sites, low throughput performance seems to be related to a higher number of satellite links within interconnecting networks; finally, in spite of having a connectionless network layer, the Internet environment has a high path stability, i.e., it is predominantly connection-oriented. The latter confirms research carried out by Paxson, Lebovitz et al & Chinoy, where path changes affect less than 1% of Internet connections [41,45,46].

¹ See <http://www.napster.com>



Declaration

This work contains no material which has been accepted for the award of any other degree or diploma in any university or other tertiary institution and, to the best of my knowledge and belief, contains no material previously published or written by another person, except where due reference has been made in the text.

I give consent to this copy of my thesis, when deposited in the university library, being available for loan and photocopying.

SIGNED

7

DATE 23.01.01

Acknowledgments

Firstly, I praise *God* for giving me health, tranquillity, patience and determination to challenge my limits during this great life experience.

This research project was carried out with the assistance of a Scholarship provided by my company, *Research and Development Centre for Information Processing and Automation* (CPDIA). I wish to express my deep appreciation *not only* for the financial support *but also* for the confidence and responsibility placed in me. Sincere appreciation is due to a number of professionals for making this period in Australia possible: *Prof. Edgard A. Romanato, Prof. Katsuyoshi Kurata, Prof. Osório Chagas Meirelles, Mr. Soji Iura, Mr. Aiser C. Cordeiro, Mr. Oswaldo Ken-Ichi Furuzawa and Mr. Edson Hayashi.*

I am grateful to my supervisor, *Prof. Reginald P. Coutts* for helping me improve my research skills, by stimulating my independent thinking and, primarily, for making sure I pursued my ideas.

I would like to express my special gratitude to *Dr. Sergey Nesterov* for his professionalism and guidance during the development of the experimental analysis of this project. Undoubtedly, without his fruitful discussions and his talent in software programming, this project would not have been so challenging and rewarding.

Mr. David Klemitz must be acknowledged for his encouragement, enthusiasm, criticisms, suggestions and mainly for his friendship during this academic experience.

I am also very thankful to all *Centre for Telecommunications Information Networking* (CTIN) staff, for providing a pleasant and welcoming research environment. Some people, however, deserve a special reference: *Mrs. Hilde Crook* for her professional

conduct, kindness and helpfulness; And, *Ms. Collete Snowden*, for her assistance in proofreading the final version of this thesis.

In addition, I am indebted to several professionals from *the University of Adelaide*. My sincere gratitude extends to three key people: *Prof. Ken Sarkies*, who was my first contact at the University and who has always demonstrated a welcoming and supportive attitude towards overcoming barriers faced; *Dr. Nigel Bean* for suggestions to improve the end-to-end delay performance analysis; And, *Prof. Lang White* for his relevant technical contributions for enhancing the ultimate development process.

Finally, the completion of this thesis is a victory for a person who has always been an example of life, dedication, determination and honesty. My extreme admiration goes to my *Mum* for all she has provided to me as a *Mum, friend* and *educator*.

List of Publications

Conference Publication:

Manoel Eduardo Mascarenhas da Veiga Alves, Reginald Paul Coutts & Sergey Nesterov, **"International Conference on Performance and QoS of Next Generation Networking - P&Q Net2000"**, November 27-30, 2000, Nagoya, Japan

List of Abbreviations

Acronym

AAL5	ATM Adaptation Layer 5
AARNET	Australian Academic Research NETWORK
ACK	ACKnowledgment flag
ADSL	Asymmetric Digital Subscriber Line
AS	Autonomous System
ATM	Asynchronous Transfer Mode
BDP	Bandwidth-Delay Product
BER	Bit Error Rate
BGP	Border Gateway Protocol
BSP	Backbone Service Providers
CERN	European Centre for Nuclear Research
CRC	Cyclic Redundancy Check
DARPA	Defense Advanced Research Projects Agency
DF	Don't Fragment bit
DNS	Domain Name System
DWDM	Dense Wavelength Division Multiplexing
EGP	Exterior Gateway Protocol
EndT	End Time
ESP	Encapsulating Security Payload
FDDI	Fibre Distributed Data Interface

FEC	Forward Error Control
FIFO	First In First Out
FIN	FINish flag
FTP	File Transfer Protocol
FTTB	Fibre To The Building
FTTH	Fibre To The Home
GEO	Geo-synchronous satellites
GIF	Graphics Interchange Format
GPS	Global Positioning System
HEC	Header Error Control
HFC	Hybrid Fibre Coax
HIPPI	High Performance Parallel Interface
HTTP	Hyper Text Transfer Protocol
ICMP	Internet Control Message Protocol
ID	Identification
IETF	Internet Engineering Task Force
IGP	Interior Gateway Protocol
IP	Internet Protocol
IPMP	Internet Protocol Measurement Protocol
IRR	Internet Routing Registry
IPv4	Internet Protocol version 4
IPv6	Internet Protocol – version 6
ISP	Internet Service Providers
JPEG	Joint Photograph Experts Group
LEO	Low Earth Orbit satellites
LFN	Long Fat Networks

LMDS	Local Multipoint Distribution Service
MEO	Medium Earth Orbit satellites
MMDS	Multichannel Multipoint Distribution Service
MPEG	Motion Picture Experts Group
MP3	MPEG 1 Layer 3
MSS	Maximum Segment Size
MTU	Maximum Transmission Unit
NFS	Network File System
NIC	Network Interface Cards
NNTP	Network News Transfer Protocol
NPD	Network Probe Daemon
NSP	Network Service Provider
NTP	Network Time Protocol
OSI	Open Systems Interconnection Reference Model
OSPF	Open Shortest Path First
OW	One-Way delay
PAWS	Protection Against Wrapped Sequence numbers
PERL	Practical Extraction and Report Language
PoP	Point of Presence
PMTUD	Path Maximum Transmission Unit Discovery
PSTN	Public Switch Telephone Network
QoS	Quality of Service
RAM	Real Audio Metafile
RSVP	Resource reSerVation Protocol
RST	ReSeT flag
RTT	Round Trip Time

SACK	Selective ACKnowledgment
SAA	Single Administrative Authority
SBF	Standard Benchmark File
SLA	Service Level Agreement
SNMP	Simple Network Management Protocol
SMTP	Simple Mail Transfer Protocol
StartT	Start Time
SYN	SYNchronise sequence numbers flag
TCB	TCP Control Block
TCP	Transmission Control Protocol
TTL	Time To Live
UDP	User Datagram Protocol
URL	Uniform Resource Locator
VCI	Virtual Channel Identifier
VDSL	Very high speed Digital Subscriber Line
VPI	Virtual Path Identifier
xDSL	Digital Subscriber Line
ZIP	A compression format
WAN	Wide Area Network
WWW	World Wide Web

List of Figures

Figure #	Title	Page #
2.1	Internet in a Commercial Environment	8
2.2	Passive Measurement Method	15
2.3	Active Measurement Method	21
2.4	BGP Strategy	33
2.5	SNMP Model	39
3.1	OSI x TCP/IP Models	42
4.1	Client-Server Internet Connectivity Model	65
4.2	Clients and Target Application Servers	71
4.3	Tightly Coupled Router Topology	74
4.4	Fluttering Topology	75
4.5	Throughput Analysis	81
4.6	Path Analysis Utility	83
5.1	Median Throughput South Australia	104
5.2	Median Throughput Victoria (03/11/99 – 07/11/99)	105
5.3	Median Throughput Victoria (08/11/99 – 22/11/99)	106
5.4	Median Throughput Typical Weekday USA W. Coast	107
5.5	Median Throughput Typical Weekday USA W. Coast	107
5.6	Median Throughput in the USA E. Coast	109
5.7	Median Throughput in Hong Kong	110
5.8	Median Throughput in Israel	111
5.9	Median Throughput in Israel (Two-Period Usage)	112

5.10	Typical Median Throughput Weekday in Germany	113
5.11	Median Throughput in England	114

List of Tables

Table #	Title	Page #
2.1	Domain Sizes Classified by Degree Range	36
4.1	Location for Tucows Mirror Sites	70
4.2	IP Class Types	84
4.3	Client x Servers Time Servers	87
4.4	Time-of-Day Patterns	88

***In memory of my Father –
whose enthusiasm, spontaneity and passion
for life will always be alive***