Prediction of breakdown pressures and fracture propagation surfaces in a rock material subjected to hydraulic fracturing using intact specimens and specimens with a replicated crack

By

Adam Karl Schwartzkopff

The School of Civil, Environmental and Mining Engineering



This thesis is submitted in fulfilment of the requirements for the degree of Doctor of Philosophy

in the Faculty of Engineering, Computer and Mathematical Sciences

January 2017

Abstract

Hydraulic fracturing is a mechanical process widely implemented by many resource industries to change the properties of rock material below the surface of the Earth. This method induces fracturing in a rock mass by injecting highly pressurised fluid into the crust. These resultant fractures can enhance the rock permeability and hence increase the efficiency of hydrocarbon extraction and geothermal energy production. Rock masses have pre-existing discontinuities, which act as weak planes for hydraulic fracturing. As such, the ability to predict the fracture propagation resulting from the interaction between these pre-existing cracks and the pressurised fluid is important to design effective hydraulic fracturing treatments. In addition, the maximum internal fluid pressure that the rock can withstand during this process provides an important parameter to assist these predictions. Therefore, the main research reported in the thesis focuses on the prediction of the hydraulic fracture propagation surfaces from the pre-existing cracks intersecting a pressurised section of a borehole, as well as the prediction of the maximum internal breakdown pressures of intact and discontinuous brittle rock materials.

The prediction of the propagation of arbitrarily orientated, pressurised cracks has been addressed by various numerical methods. However, published research on the crack propagation prediction using three dimensional analytical techniques is very limited. One such technique is proposed in this research, which only uses trivial computational time compared with other numerical simulations. This method could assist the design of hydraulic fracturing stimulations by providing a solution quickly for industry. The proposed analytical approach has been validated against a numerical method to ensure accuracy. Studies showed that the predicted propagating crack consistently realigned eventually perpendicular to the minor principal stress direction after the initial tortuous propagation that is dependent on the crack configuration and in-situ stress conditions.

In addition, there has been limited experimental research conducted to investigate the behaviour of pre-existing cracks intersecting a pressurised borehole section. In this research, a comprehensive set of experiments were conducted aiming to quantify the influence of the shear stress on the breakdown pressures and the resultant propagation surfaces of a circular crack intersecting a borehole. The study showed that by increasing the induced shear stress, produced by the combination of different external triaxial stresses, the realignment process of the hydraulic fracture propagation surface occurred more rapidly. However, it was found that under the shear stress conditions tested, this component had little influence on the measured breakdown pressures.

For the prediction of breakdown pressure, a new approach based on the theory of critical distances is proposed in this research. The proposed method assumes that a pressurised crack is formed at a critical distance into the material prior to the unstable crack propagation. The breakdown pressure is calculated using an analytical approximation of the mode I stress intensity factor for this pressurised crack, which significantly reduces the complexity of the prediction. The prediction using the proposed approach aligns well with the measurement in our experiments as well as with published results from other hydraulic fracturing experiments performed externally.

Acknowledgements

I thank my supervisors, Dr Nouné Sophie Melkoumian and A. Prof. Chaoshui Xu, for their belief in my work and in me. I am appreciative of the laboratory staff members that have contributed to the experiments: in particular, Adam Ryntjes and Simon Golding.

This thesis is dedicated to my 'family'. I define 'family' by the people who care or have cared for me. Therefore, this includes many people. However, I have to name a few people that have helped me complete this thesis and through the difficulties of research. I thank my partner, June Sim, for her patience and love. I will always be grateful for the support from my mum, Jude Schwartzkopff. I would also like to acknowledge the strong bond that developed between my colleagues and friends. In particular, Arash Mir and Thomas Bruning, thank you for the discussions and the time we have spent together.

I am appreciative that I have had this opportunity and I acknowledge all the people who have contributed to my education.

Declaration

I certify that this work contains no material which has been accepted for the award of any other degree or diploma, in my name in any university or other tertiary institution and, to the best of my knowledge and belief, contains no material previously published or written by another person, except where due reference has been made in the text. In addition, I certify that no part of this work will, in the future, be used in a submission in my name for any other degree or diploma in any university or other tertiary institution without the prior approval of the University of Adelaide and where applicable, any partner institution responsible for the joint award of this degree.

I give consent to this copy of my thesis, when deposited in the library of the University of Adelaide, being made available for loan and photocopying, subject to the provisions of the Copyright Act 1968.

The author acknowledges that copyright of published works contained within this thesis resides with the copyright holder(s) of those works.

I also give permission for the digital version of my thesis to be made available on the web, via the University's digital research repository, the Library Search and also through web search engines, unless permission has been granted by the University of Adelaide to restrict access for a period of time.

Adam Karl Schwartzkopff

18 January 2017

Table of contents

Abstract .		i
Acknowl	edgements	iii
Declarati	on	iv
Introduct	ion	1
1.1	State of the art knowledge and historical contributions	2
1.1.	1 Introduction and review of industrial importance	2
1.1.	2 General concepts	6
1.1.	3 Breakdown pressure theories for intact rock material	8
1.1. pres	4 Breakdown pressure theories for notched or pre-existing flaws intersect ssurised borehole section in rock materials	
1.1.	5 Non-planar hydraulic fracture propagation theories	19
1.1.	6 Conclusions	23
1.2	Addressed gaps in knowledge	24
1.3	General problem statements	24
1.4	Contributions to knowledge and outline	25
Reference	es	26
Paper 1		32
Approxin	nation of mixed mode propagation for an internally pressurised circular crac	k 33
Abstra	ıct	33
Nome	nclature	34
1 Intro	oduction	36
2 The	eory and calculations	37
2.1	Problem setup	37
2.2	Approximated stress intensity factors for an initially circular planar crack.	38
2.3	Crack propagation directions using maximum tangential stress criterion	40
2.4	Crack front propagation path modelling	42
2.5	Approximated stress intensity factors for a planar elliptical fictitious crack	
3 Res	sults and discussion	44
	nclusions	
	X	
A.	Crack propagation path when the initial crack is circular	
B. elliptic	Crack propagation path for subsequent steps when the fictitious planar cra	ick is
Reference	es	59
Paper 2		61
	mechanics approximation to predict the breakdown pressure of a rock mat	

	Abstract	62
	Nomenclature	63
1	1 Introduction	64
2	Problem formulation	69
3	3 Material and methods	69
	3.1 Material	69
	3.1.1 Mechanical properties of granite	70
	3.1.2 Methods to produce the artificial rock	70
	3.1.3 Material properties of the artificial rock	72
	3.2 Hydraulic fracturing experimental method	79
4	4 Theory	83
	4.1 Fracture mechanics approach using the theory of critical	l distances84
5	5 Results and discussions	89
	5.1 Breakdown pressure for non-hydrostatic stress condition	ns89
	5.2 Breakdown pressures for hydrostatic stress conditions	92
	5.3 Comparison study with published experimental results.	95
6	6 Conclusions	99
Aj	Appendix	100
	A. Numerical simulations	100
	B. Experimental results	103
Re	References	108
Pa	Paper 3	111
	Breakdown pressure and propagation surface of a hydraulically prowithin a rock material	
	Abstract	112
N	Nomenclature	113
1	1 Introduction	114
2	2 Methods	116
	2.1 Material and specimen preparation	116
	2.2 Hydraulic fracturing experiments	121
3	Theory and calculations	126
	3.1 Problem setup	127
	3.2 Analytical analysis	127
	3.2.1 Stress intensity factors for a circular internally pressu	urized crack 128
	3.2.2 Stress distribution in the vicinity of an internally pres	
	3.3 Numerical analysis using FRANC3D	
1	1 Results and discussion	134

4.1	Comparison of fracture propagation surfaces	135
4.2	Breakdown pressures	138
5 Cor	nclusions	140
Appendix	x	141
A.	The crack propagation step when the crack is circular	141
B.	Stress versus time graphs for the fracture propagation surface examples	144
C.	Hydraulic fracturing experimental values	147
References		149
Discussion		151
Conclusions and recommendations		153
Conclu	usions	153
Recon	nmendations	154