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# Dual Mechanism of Interleukin-3 Receptor Blockade by an Anti-Cancer Antibody

Sophie E. Broughton,<sup>1,5</sup> Timothy R. Hercus,<sup>2,5</sup> Matthew P. Hardy,<sup>3,5</sup> Barbara J. McClure,<sup>2</sup> Tracy L. Nero,<sup>1</sup> Mara Dottore,<sup>2</sup> Huy Huynh,<sup>3</sup> Hal Braley,<sup>3</sup> Emma F. Barry,<sup>2</sup> Winnie L. Kan,<sup>2</sup> Urmi Dhagat,<sup>1</sup> Pierre Scotney,<sup>3</sup> Dallas Hartman,<sup>3</sup> Samantha J. Busfield,<sup>3</sup> Catherine M. Owczarek,<sup>3</sup> Andrew D. Nash,<sup>3</sup> Nicholas J. Wilson,<sup>3,6,\*</sup> Michael W. Parker,<sup>1,4,6,\*</sup> and Angel F. Lopez<sup>2,6,\*</sup>

<sup>1</sup>Australian Cancer Research Foundation Rational Drug Discovery Centre, St. Vincent's Institute of Medical Research, Fitzroy, VIC 3065, Australia

<sup>2</sup>The Centre for Cancer Biology, SA Pathology and the University of South Australia, Adelaide, SA 5000, Australia

<sup>3</sup>CSL Limited, Parkville, VIC 3010, Australia

<sup>4</sup>Department of Biochemistry and Molecular Biology, Bio21 Molecular Science and Biotechnology Institute, University of Melbourne, Parkville, VIC 3010, Australia

<sup>5</sup>Co-first author

<sup>6</sup>Co-senior author

\*Correspondence: [nick.wilson@csl.com.au](mailto:nick.wilson@csl.com.au) (N.J.W.), [mparker@svi.edu.au](mailto:mparker@svi.edu.au) (M.W.P.), [angel.lopez@health.sa.gov.au](mailto:angel.lopez@health.sa.gov.au) (A.F.L.)  
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## SUMMARY

Interleukin-3 (IL-3) is an activated T cell product that bridges innate and adaptive immunity and contributes to several immunopathologies. Here, we report the crystal structure of the IL-3 receptor  $\alpha$  chain (IL3R $\alpha$ ) in complex with the anti-leukemia antibody CSL362 that reveals the N-terminal domain (NTD), a domain also present in the granulocyte-macrophage colony-stimulating factor (GM-CSF), IL-5, and IL-13 receptors, adopting unique “open” and classical “closed” conformations. Although extensive mutational analyses of the NTD epitope of CSL362 show minor overlap with the IL-3 binding site, CSL362 only inhibits IL-3 binding to the closed conformation, indicating alternative mechanisms for blocking IL-3 signaling. Significantly, whereas “open-like” IL3R $\alpha$  mutants can simultaneously bind IL-3 and CSL362, CSL362 still prevents the assembly of a higher-order IL-3 receptor-signaling complex. The discovery of open forms of cytokine receptors provides the framework for development of potent antibodies that can achieve a “double hit” cytokine receptor blockade.

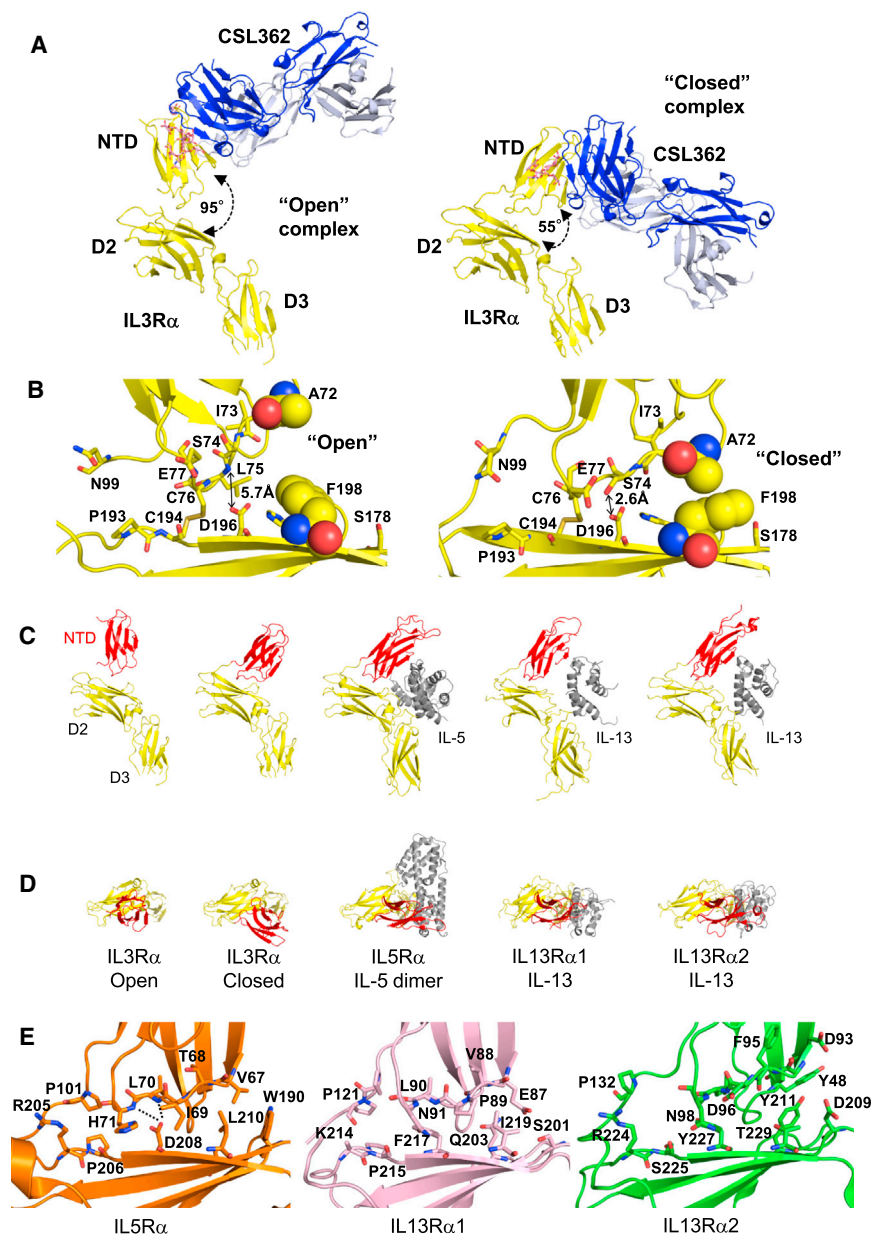
## INTRODUCTION

Interleukin 3 (IL-3) is a cytokine produced largely by antigen-activated T cells that links immunity to the hemopoietic system and plays a significant role in leukemia as well as several immunopathologies (Hercus et al., 2013). Through actions on several cell types, IL-3 contributes to allergic inflammation, autoimmune diseases, and oncogenesis (Korpelainen et al., 1993; Broughton et al., 2012). Importantly, leukemic stem cells from patients with acute myeloid leukemia (AML) (Jordan et al., 2000) and

chronic myeloid leukemia (CML) (Nievergall et al., 2014) overexpress the IL-3 receptor  $\alpha$  chain (IL3R $\alpha$ ), and this is associated with a poor prognosis in AML (Testa et al., 2002). Understanding how the IL-3 receptor signals and designing strategies to optimally target the IL-3 receptor has become a pressing need.

The IL-3 receptor belongs to the  $\beta c$  family of heterodimeric receptors that includes the granulocyte-macrophage colony-stimulating factor (GM-CSF) and IL-5 receptors and is part of the type I cytokine receptor superfamily. The  $\beta c$  family utilizes specific subunits ( $\alpha$ ) that bind the cognate cytokine as well as the shared subunit ( $\beta c$ ) that is essential for signaling (Hercus et al., 1994). In the GM-CSF receptor, a dodecamer assembly brings  $\beta c$ -associated JAK2 molecules on hexamer complexes into close proximity to initiate receptor signaling (Hansen et al., 2008) with a similar mechanism proposed for IL-3 and IL-5 receptor signaling (Patino et al., 2011; Kusano et al., 2012; Broughton et al., 2012).

The IL3R $\alpha$  N-terminal domain (NTD) allows optimal IL-3 binding but is not essential for IL-3 signaling (Barry et al., 1997; Chen et al., 2009). Paradoxically, monoclonal antibody (mAb) 7G3 recognizes the IL3R $\alpha$  NTD (Sun et al., 1996; Barry et al., 1997) and completely blocks IL-3 binding and signaling. We recently showed that mAb 7G3 eliminates engrafted human AML stem cells in a mouse model of human AML (Jin et al., 2009). mAb 7G3, now humanized and designated as CSL362 (Busfield et al., 2014), is entering clinical trials for the treatment of patients with AML (ClinicalTrials.gov identifier: NCT01632852). We have now used a Fab fragment of CSL362 to solve the structure of IL3R $\alpha$  and reveal the underlying mechanisms of CSL362-mediated IL-3 receptor blockade. First, we observed IL3R $\alpha$  NTD in two distinct conformations, “open” and “closed,” suggesting that the NTD is mobile and may modulate IL-3 signaling. Second, CSL362 and IL-3 utilize functionally distinct binding sites on IL3R $\alpha$ , and using “open-like” IL3R $\alpha$  mutants, we showed that CSL362 can inhibit IL-3 signaling without inhibiting IL-3 binding. Third, when combined with direct competition for IL-3 binding to the closed IL3R $\alpha$ , it is clear that CSL362 has dual mechanisms leading to IL-3 receptor blockade. Finally, we generated a model



**Figure 1. Structure of the IL3R $\alpha$ :CSL362 Fab Complex**

(A) Crystal structure of the IL3R $\alpha$ :CSL362 binary complex reveals two conformational states: open and closed. IL3R $\alpha$  in yellow, CSL362 heavy chain in dark blue, CSL362 light chain in light blue, and glycan molecules represented as pink sticks.

(B) View of the interface between IL3R $\alpha$  NTD and D2 in the open and closed complexes. Key features are indicated, including the C76-C194 disulfide bond and the distance between S74 and D196.

(C and D) "Side on" (C) and "top down" (D; when looking down onto the membrane surface) views of related cytokine receptors, IL5R $\alpha$  (PDB ID: 3QT2; Patino et al., 2011), IL13R $\alpha$ 1 (PDB ID: 3BPO; LaPorte et al., 2008), and IL13R $\alpha$ 2 (PDB ID: 3LB6; Lupardus et al., 2010). Receptors are aligned via their D2-D3 domains to the closed form of IL3R $\alpha$  and colored yellow with NTD domains red and cytokines gray.

(E) Comparison of the NTD-D2 interface for IL5R $\alpha$  (orange), IL13R $\alpha$ 1 (pink), and IL13R $\alpha$ 2 (green); same alignment as in (C) and (D) and same view as for IL3R $\alpha$  in (B). In IL5R $\alpha$ , D208 hydrogen bonds to the amide nitrogen of both L70 and H71. See also Figures S1-S4 and Table S1.

see Broughton et al. (2014). Two independent forms of the binary complex were observed in the asymmetric unit of the unit cell, an open and a closed form, each of which comprise a sIL3R $\alpha$  molecule and a CSL362 Fab (Figure 1A). In both forms, CSL362 Fab binds to the NTD of IL3R $\alpha$ , consistent with cell binding studies (Figure S2).

The IL3R $\alpha$  structure reveals a well-defined immunoglobulin-like NTD that adopts a typical fibronectin type III (FnIII) fold followed by two more FnIII domains (D2 and D3) that form the cytokine receptor module (CRM) that is typical for this class of receptors (Broughton et al., 2012). The major difference between the two complexes is the angle between the

NTD and D2, which differs by  $\sim 40^\circ$  (Figures 1A and S3A; see the Supplemental Results). The position of the NTD is constrained by the disulfide bond between C76 (NTD) and C194 (D2), a hydrogen bond between S74 (NTD) and D196 (D2), and van der Waals (vdW) interactions between A72 (NTD) and F198 (D2; Figure 1B). Although it is possible that the two IL3R $\alpha$  conformations are a result of crystal packing, the biological data presented below suggest a functional purpose for the flexibility of the NTD-D2 linker region that results in the receptor adopting both conformations and possibly a dynamic continuum between them.

of the functional IL-3 receptor based on these structural, functional, and homology studies, which support the requirement of a higher-order assembly for IL-3 receptor signaling. These results have important implications for understanding cytokine receptor signaling and for guiding the development of novel and potent anti-cytokine-receptor antibodies.

## RESULTS

### The Structure of the IL3R $\alpha$ :CSL362 Complex Reveals Open and Closed Conformations of IL3R $\alpha$

Soluble IL3R $\alpha$  (sIL3R $\alpha$ ) was bound to the Fab fragment of CSL362 and the crystal structure of the binary complex determined (Figure S1; Table S1). For complete crystallization details,

### Unique and Conserved Structural Features of IL3R $\alpha$

The ligand-free IL3R $\alpha$  structures were compared to structures for other  $\beta$ c receptors, including two structures of IL5R $\alpha$  in

complex with IL-5 (Protein Data Bank [PDB] IDs: 3QT2 and 3VA2; Patino et al., 2011; Kusano et al., 2012), and our partial structure of GMR $\alpha$  in the GM-CSF receptor ternary complex (PDB ID: 3CXE; Hansen et al., 2008). Whereas GMR $\alpha$  structural data are largely restricted to D3, the closed conformation of IL3R $\alpha$  clearly resembles the “wrench-like” fold observed in IL5R $\alpha$  bound to IL-5 (Figure 1C). The two IL5R $\alpha$  binary complexes observed in the asymmetric unit of 3QT2 and the one in 3VA2 are very similar ( $C\alpha$  root-mean-square-deviation [rmsd] =  $\sim$ 0.5 Å); hence all comparisons with IL3R $\alpha$  have been carried out using 3QT2. The CRMs of IL3R $\alpha$  and IL5R $\alpha$  superimpose closely with the biggest difference being a lengthy loop region in IL5R $\alpha$  (E120–Y129) that is proximal to the D2–D3 linker, protruding outward toward the cytokine-binding interface. The core  $\beta$  sheet structure of the NTDs is largely maintained between IL3R $\alpha$  and IL5R $\alpha$  ( $C\alpha$  rmsd = 1.6 Å); however, in IL5R $\alpha$ , the four-stranded  $\beta$  sheet at the distal tip from the CRM twists toward the cytokine and the loops in this region are considerably longer (Figure S4A).

A 3D structural comparison of IL3R $\alpha$  bound to CSL362 using the PDB eFold server (<http://www.ebi.ac.uk/msd-srv/ssm>) revealed similarity with other type I cytokine receptors. Outside the  $\beta$ c family, the closed conformation of IL3R $\alpha$  has the strongest similarity with the IL-13 receptors, IL13R $\alpha$ 1 and IL13R $\alpha$ 2, that bind IL-13 in a pincer-like grip between the NTD and the CRM (Figures 1C and 1D; PDB IDs: 3BPO [LaPorte et al., 2008] and 3LB6 [Lupardus et al., 2010]). The core  $\beta$  sheet structure of the IL3R $\alpha$  NTD overlaps well with the NTDs of IL13R $\alpha$ 1 and IL13R $\alpha$ 2 ( $C\alpha$  rmsd = 1.6 Å for both); however, loop regions at the CRM distal tip of the NTD in IL13R $\alpha$ 1/IL13R $\alpha$ 2 are lengthy compared to the relatively compact loops of IL3R $\alpha$ . Although the NTD–D2 and D2–D3 elbow angles are similar between the closed IL3R $\alpha$  conformation, IL5R $\alpha$ , IL13R $\alpha$ 1, and IL13R $\alpha$ 2, the IL3R $\alpha$  NTD is twisted away from the D2–D3 axis by  $\sim$ 30° compared to the other receptors so that it is not sitting directly above D2 (Figure 1D). See the Supplemental Results for additional comparisons.

Residues located at the NTD–D2 interface for IL3R $\alpha$  (Figure 1B) and IL5R $\alpha$  (Figure 1E) show some conservation: e.g., IL5R $\alpha$  D208 (analogous to IL3R $\alpha$  D196) hydrogen bonds to L70 and H71 (NTD) whereas V67 (NTD) makes vdW interactions with W190 and L210 (D2). There is little sequence identity between IL3R $\alpha$  and IL13R $\alpha$ 1/IL13R $\alpha$ 2 in the NTD–D2 interface; however, a number of hydrogen bonds and vdW interactions may serve a similar role in orientating the NTD (Figures 1B and 1E).

### CSL362 Binds to a 15 Amino Acid Epitope in the IL3R $\alpha$ NTD

The CDR loops from CSL362 interact with strand D and portions of the BC, DE, and FG loops of IL3R $\alpha$  NTD (Figures 2A, S1A, and S1B; see the Supplemental Results) and the CDR interface with IL3R $\alpha$  in both open and closed conformations are essentially identical ( $C\alpha$  rmsd = 0.5 Å; Figure 2B). The interacting residues are shown in Figures 2C and 2D. Although closely associated with the CSL362 epitope, the N-linked glycosylation at N80 of IL3R $\alpha$  is not required for CSL362 binding (see the Supplemental Results; Figures S3C–S3E).

All CDR loops of CSL362 interact with the IL3R $\alpha$  NTD, except for the light-chain CDR2 loop, and are detailed in Table S2. The

heavy (H) and light (L) chains of CSL362 form ten hydrogen bonds and three salt bridges with IL3R $\alpha$  (Figure 2D; Table S2). The side-chain hydroxyl of CDR1H Tyr33H forms hydrogen bonds between the amide nitrogen atom of V85 and the carbonyl of F90. CDR2H Asp50H forms a salt bridge with the guanidine moiety of R84, and two salt bridges are formed between CDR3H Arg103 and both D49 and E51. CDR3L residues Tyr98L, Ser99L, Tyr100L, and Tyr102L hydrogen bond to S59 through both main-chain and side-chain interactions whereas two hydrogen bonds are formed between the side chains of Tyr102L and E51 and R84. CDR3L residues Tyr98L, Ser99L, and Tyr100L pack against the main chain of residues P61, M60, S59, Y58, D57, and A56. These interactions define the structural epitope of CSL362 binding to IL3R $\alpha$  and are consistent with the CSL362-interacting footprint determined in solution using hydrogen/deuterium exchange (see the Supplemental Results).

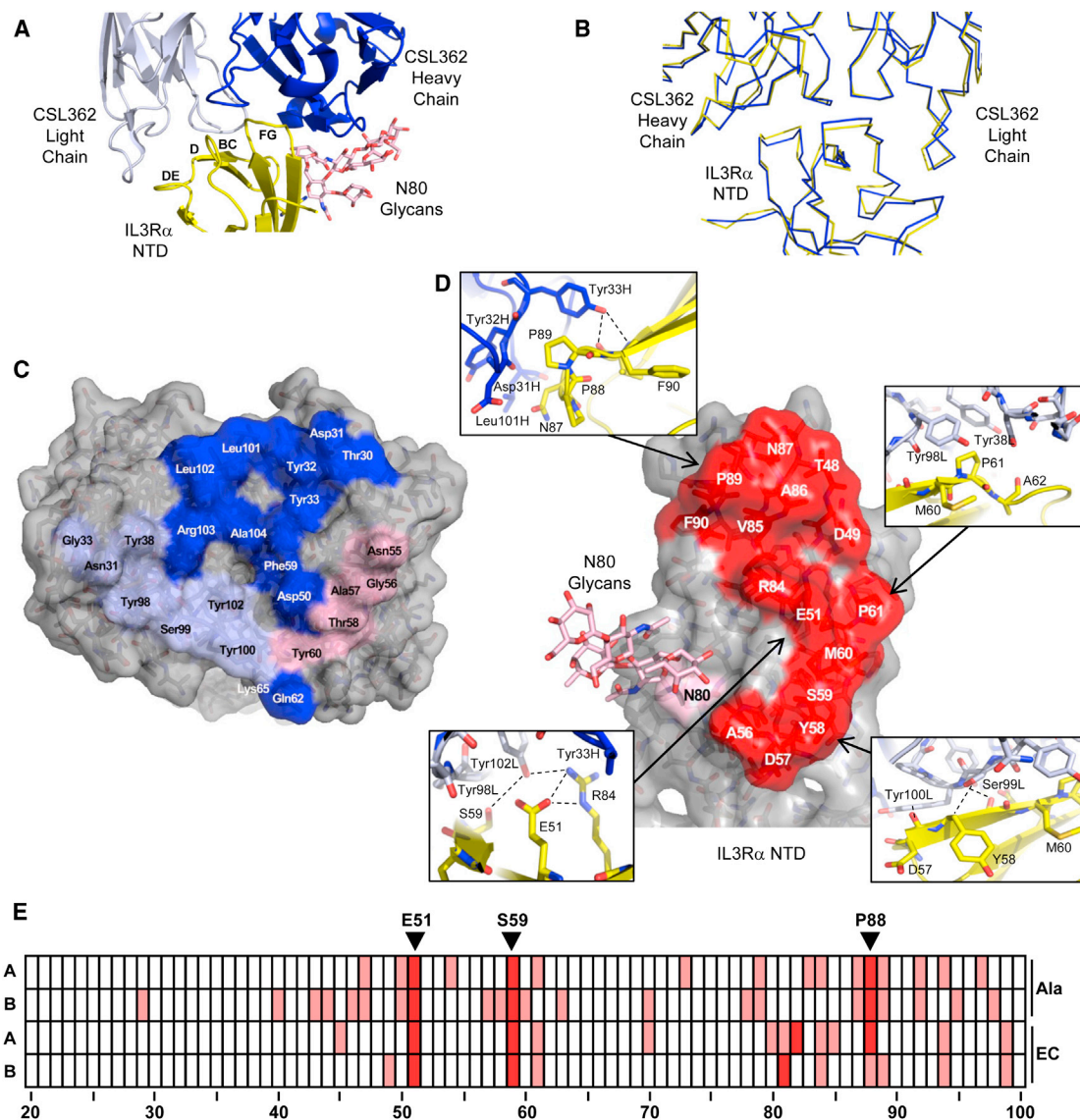
### Functional Characterization of the CSL362 Epitope on the IL3R $\alpha$ NTD

To define the productive interactions in the CSL362 epitope, we assessed the binding of mAb 7G3 (from which CSL362 was derived) to a panel of IL3R $\alpha$  mutants by flow cytometry. This analysis identified E51 and S59 as key determinants of 7G3 binding as well as revealing a role for an adjacent residue, P88 (Figure 2E). The interactions were quantified by saturation-binding analysis of radiiodinated CSL362 to IL3R $\alpha$  mutants. Mutation of E51 and R84 abolished CSL362 binding whereas mutation of Y58, S59, P61, and P88 reduced CSL362 binding (Table S3). Surface plasmon resonance (SPR) analysis of CSL362 binding to sIL3R $\alpha$  mutants also identified E51 as a critical determinant whereas mutation of D49, Y58, S59, and P61 reduced CSL362 binding (Table S3).

### Identification and Characterization of the IL-3 Binding Site in IL3R $\alpha$

To explore the mechanism of action for CSL362, we investigated the location of the IL-3 binding site in IL3R $\alpha$ . We constructed models of the IL-3:IL3R $\alpha$  binary complex based on (1) the open and closed conformations of IL3R $\alpha$  (Figure 1A), (2) published mutagenesis data (Broughton et al., 2012), and (3) automated cytokine-receptor docking (model details in Supplemental Results). In the open model, IL-3 can adopt multiple orientations, whereas in the closed model, IL3R $\alpha$  wraps around the cytokine (Figure 3A), reminiscent of the IL5R $\alpha$  and IL13R $\alpha$ 1/IL13R $\alpha$ 2 interactions with cytokine (Figures 1C, 1D, and S4A). Superimposing the bound CSL362 Fab on these models indicates that CSL362 and IL-3 are potentially able to bind simultaneously to IL3R $\alpha$  in the open model, whereas in the closed model, CSL362 overlaps the docked IL-3 (Figure 3B). The closed IL-3:IL3R $\alpha$  binary model is very similar to the IL-5:IL5R $\alpha$  binary complex (Figure S4A) with the four  $\alpha$  helices of IL-3 overlapping with helices A, B, C, and D' in IL-5 (Patino et al., 2011; Kusano et al., 2012).

The binding of cytokine to its  $\alpha$  subunit was first defined for the two domain growth hormone receptors as site 1 (de Vos et al., 1992). Classical site 1 interactions are predicted to occur between helices A, A', and D of IL-3 and the “elbow” region between D2 and D3 of IL3R $\alpha$ . This is site 1a in our model (Figures



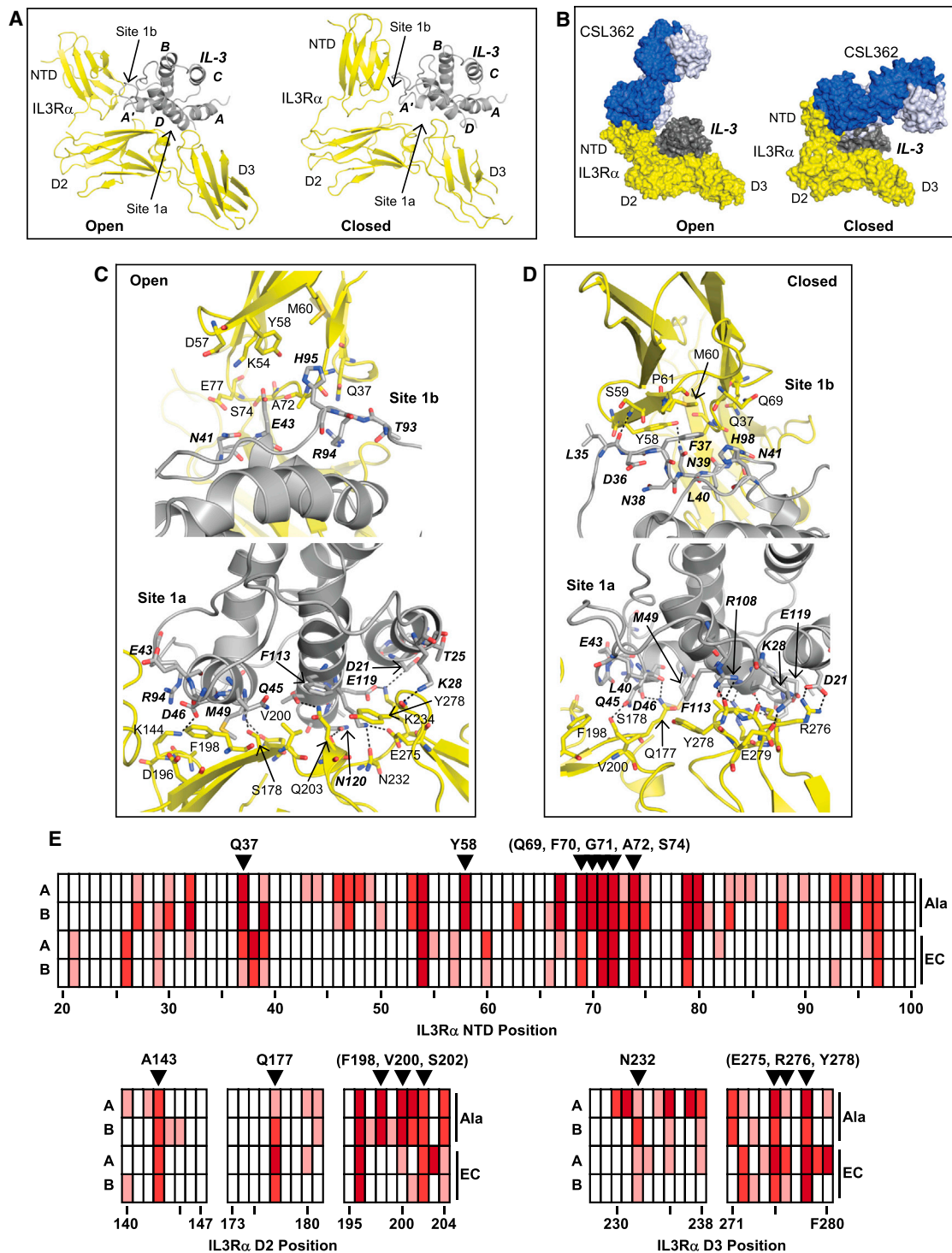
**Figure 2. The CSL362 Epitope on IL3R $\alpha$**

(A) Cartoon representation of the interaction interface between IL3R $\alpha$  and CSL362, colored as in Figure 1. CSL362-interacting loops and  $\beta$  strands are labeled. (B) Overlay of the C $\alpha$  trace of the IL3R $\alpha$  NTD:CSL362 interface region from the open (yellow) and closed (blue) complexes. (C) Surface representation of CSL362 showing the IL3R $\alpha$  footprint on the heavy chain (dark blue) and the light chain (light blue) as well as the IL3R $\alpha$  N80 glycan contact (pink). (D) Semitransparent surface view of IL3R $\alpha$  with residues that interact with CSL362 colored red and the N80-linked glycan in pink. Detailed residue interactions between CSL362 and IL3R $\alpha$  are highlighted. (E) Mapping of the CSL362 functional epitope by alanine (Ala) or evolutionary conserved (EC) mutation of IL3R $\alpha$  residues 20–100 and measuring 7G3 binding by flow cytometry.

Relative 7G3 binding, normalized for protein expression using 9F5 (A) or 107D2 (B) binding, is reported as 75%–100% (open squares), 50%–74% (pink squares), 25%–49% (red squares), and 0%–24% (maroon squares). Data are shown from a representative experiment ( $n = 3$ ). See also Figures S2–S4 and Tables S2 and S3.

3A, 3C, and 3D) whereas nonclassical contacts between IL-3 and the NTD of IL3R $\alpha$  are distinguished as site 1b (Figures 3A, 3C, and 3D; details are provided in the Supplemental Results; Table S4). IL-5 and IL-13 interact with their respective  $\alpha$  subunits in a similar manner (Figures 1C, 1D, and S4A) with site 1a interactions located in similar regions, but not conserved.

For site 1b, vdW interactions dominate for IL3R $\alpha$  whereas hydrogen bonds and polar interactions dominate for IL5R $\alpha$ . The IL13R $\alpha$ 1/IL13R $\alpha$ 2 site 1b interactions are comprised of hydrogen bonds between cytokine and receptor, similar to IL5R $\alpha$ , and vdW interactions between cytokine and receptor, similar to IL3R $\alpha$ .



**Figure 3. Molecular Modeling of IL-3 Bound to IL3R $\alpha$**

(A) Docking of IL-3 to the open and closed conformations of IL3R $\alpha$  colored as in Figure 1. The location of the NTD, D2, D3, and site 1 are indicated, and the IL-3  $\alpha$  helices are labeled.

(B) Overlay of the open and closed IL-3 binary complex models on the respective open and closed IL3R $\alpha$ :CSL362 crystal complexes with proteins depicted as molecular surface.

(C and D) Details of the IL-3:IL3R $\alpha$  interface for the open (C) and the closed (D) IL-3 binary complex models, showing site 1a (lower) and site 1b (upper) interactions. Residues in IL-3 are highlighted in bold italics with putative hydrogen bonds or salt bridges displayed as black dashed lines.

(legend continued on next page)

The two major isoforms of IL3R $\alpha$  are full-length (SP1) and a truncated isoform called SP2 that lacks the NTD (Chen et al., 2009) that by itself has no measurable IL-3 binding (Table S5). We measured the binding of IL-3 to IL3R $\alpha$  mutants by flow cytometry to assess IL3R $\alpha$  site 1 interactions and identified residues that contribute to IL-3 binding, including Q37, Y58, Q69, F70, G71, A72, S74, A143, Q177, F198, V200, S202, N232, E275, R276, and Y278 (Figure 3E; see the Supplemental Results). SPR analysis revealed that mutation of Y58, G71, E275, and Y278 abolished measurable IL-3 binding ( $K_D > 5,000$  nM) whereas mutation of Q37, Q69, Q177, F198, V200, S202, and N232 reduced IL-3 binding as did mutation of an adjacent residue A143 (Table S5), confirming a role for these residues in IL-3 binding. All IL3R $\alpha$  site 1 mutants were able to bind radiolabeled IL-3 with wild-type (WT) affinity when coexpressed on cells with  $\beta c$  (Table S5) consistent with previous studies where reduced ligand binding by the  $\alpha$  receptor can be compensated by the presence of  $\beta c$  (Mirza et al., 2010). Despite the overlap of the CSL362 and IL-3 contact surfaces in the IL3R $\alpha$  NTD, only residue Y58 contributes to both interactions (Tables S3 and S5; Figure S4B). The interactions are otherwise qualitatively and functionally distinct (see the Supplemental Results; Figures S4C–S4F).

### CSL362 Blocks Signaling by Preventing the Assembly of a Higher-Order IL-3 Receptor Complex

The open conformation of IL3R $\alpha$  may allow simultaneous binding of CSL362 and IL-3 (Figure 3B) and suggested that CSL362 may block receptor function through a mechanism independent of cytokine binding. We used our structure of the GM-CSF ternary complex and cytokine-receptor docking to construct IL-3 ternary complex models (see the Supplemental Results; Figures S5A–S5D). Modeling of the open IL-3 receptor hexamer complex suggested that CSL362 binding will not compete for IL-3 binding *in cis* but will clash with IL-3 and IL3R $\alpha$  *in trans* through the hexamer partner (Figures S5E–S5H), preventing dodecamer complex formation and IL-3 signaling. To test this prediction, we engineered open-like IL3R $\alpha$  mutants by substitution of IL3R $\alpha$  D196 with residues that fill the pocket between the NTD and D2 (Figure 4A). These mutants are expected to function with properties similar to the IL3R $\alpha$  SP2 isoform, which lacks the NTD (Chen et al., 2009). When coexpressed with  $\beta c$  on COS cells, we observed comparable and moderate IL-3 binding affinities for D196L, D196K, or D196R (D196L/D196K/D196R) IL3R $\alpha$  open-like variants and IL3R $\alpha$  SP2 (Figure 4B). The binding of CSL362 to the IL3R $\alpha$  D196 mutants was unchanged (Figure 4B). CSL362 effectively blocked IL-3 binding to COS cells coexpressing  $\beta c$  and WT IL3R $\alpha$  but only partially blocked IL-3 binding to cells expressing the IL3R $\alpha$  D196L/D196K/D196R variants and was unable to block IL-3 binding to cells expressing IL3R $\alpha$  SP2 (Figure 4C). In binding experiments using Eu-labeled IL-3 and Tb-labeled CSL362, only COS cells expressing the IL3R $\alpha$

D196L/D196K/D196R variants were able to bind both ligands simultaneously (Figure 4D). The results are consistent with the IL3R $\alpha$  D196L/D196K/D196R variants adopting an open-like conformation that allows concomitant binding of CSL362 and IL-3 (Figure 3B).

Ba/F3 cells coexpressing  $\beta c$  and the IL3R $\alpha$  variants proliferated in response to IL-3 stimulation with potencies consistent with their IL-3 binding affinities (Figure 4E). Preincubation with CSL362 completely inhibited IL-3-mediated proliferation of Ba/F3 cells expressing all forms of full-length IL3R $\alpha$  (Figure 4F). CSL362 also blocked IL-3-stimulated STAT5 phosphorylation in Ba/F3 cells expressing WT, D196A, or D196K IL3R $\alpha$ , but not in cells expressing IL3R $\alpha$  SP2 (Figure 4G). The observation that CSL362 fully blocks IL-3 signaling despite only partially competing for IL-3 binding to IL3R $\alpha$  open-like mutants supports a mechanism of action where CSL362 is able to prevent the formation of a higher-order IL-3 receptor complex and is consistent with IL-3 utilizing a dodecameric signaling complex (Figure 5).

## DISCUSSION

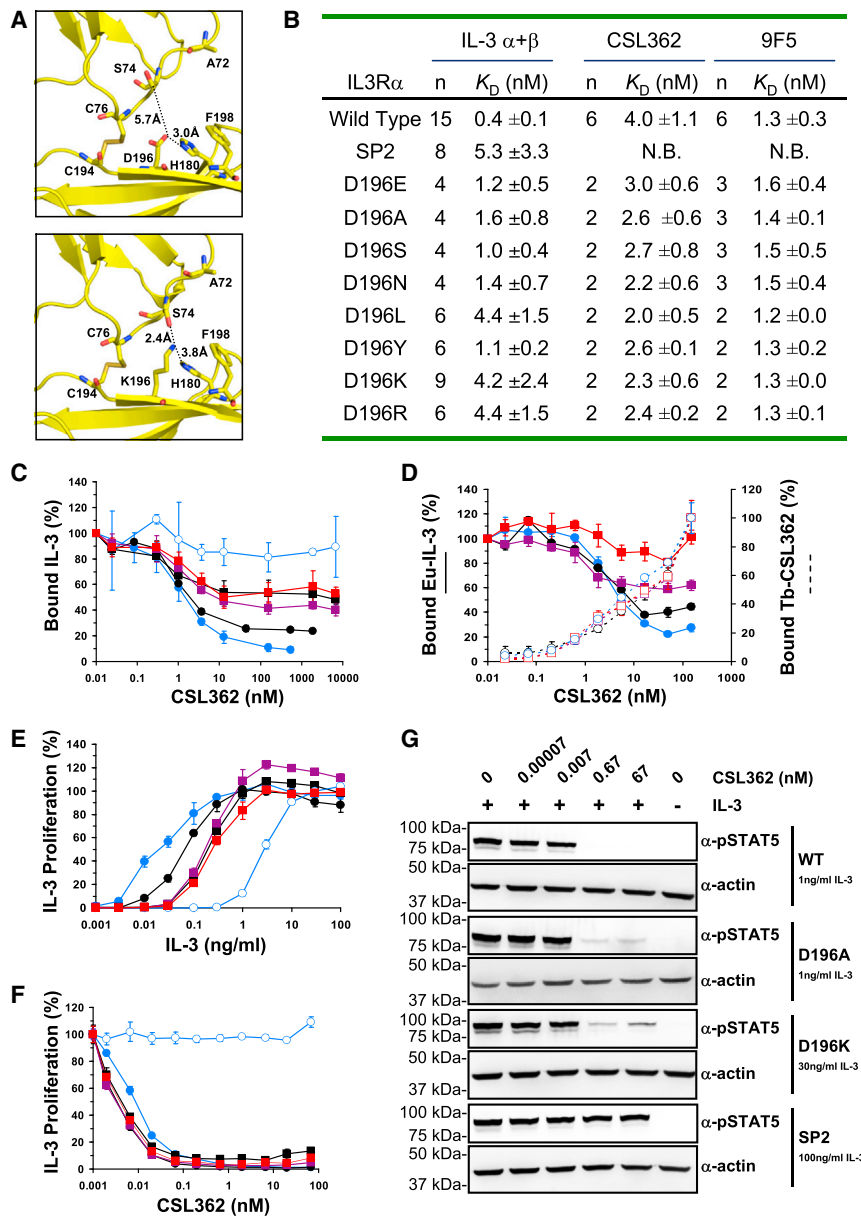
We report here the structure of the human IL-3 receptor in complex with a Fab fragment of the neutralizing mAb CSL362, which reveals features of signaling in the type I cytokine receptor superfamily as well as a dual mechanism of antibody-mediated cytokine receptor blockade (Figure 5). The structure of the IL-3 receptor showed two alternative conformations, an open and a closed form based on the orientation of the NTD (Figure 1). This was unexpected as the open conformation has not been seen in the related IL-5 (Patino et al., 2011; Kusano et al., 2012) or IL-13 receptors (LaPorte et al., 2008; Lupardus et al., 2010). Most type I cytokine receptors include a single CRM consisting of two domains with a conserved topology that forms the main surface used to recognize ligand. The  $\alpha$  subunits of the  $\beta c$  family, IL13R $\alpha 1$  and IL13R $\alpha 2$ , represent a group of type I receptors that include an additional NTD, but the exact function of this domain has not been clearly understood.

In the closed conformation of IL3R $\alpha$ , the orientation and overall topology of the NTD is very similar to the NTDs of IL5R $\alpha$  and IL13R $\alpha 1$ /IL13R $\alpha 2$  bound to ligand (Figures 1B and 1C). Our results suggest that the IL3R $\alpha$  NTD is highly flexible and potentially allows subtle modulation of ligand binding, receptor interactions, and signaling. It is likely that the open and closed conformations represent the dynamic extremes of NTD movement and may also occur in the structurally related GM-CSF, IL-5, and IL-13  $\alpha$  subunits.

Elucidation of the IL3R $\alpha$  structure allowed us to investigate how mAb CSL362 blocks IL-3 signaling and the role of the NTD in this process. Epitope-mapping experiments identified E51, S59, and R84 and to a lesser extent D49, Y58, P61, and P88 in the NTD as important residues for CSL362 binding (Figures 2E and S4C–S4F; Table S3). To examine if the CSL362 epitope overlapped with the IL-3 binding site, we constructed

(E) Mapping of the IL-3-binding site by Ala or EC mutation of IL3R $\alpha$  site 1 residues and measuring IL-3 binding by flow cytometry.

Relative IL-3 binding, normalized for protein expression using 9F5 (A) or 107D2 (B) binding, is reported as 75%–100% (open squares), 50%–74% (pink squares), 25%–49% (red squares), and 0%–24% (maroon squares). Data are shown from a representative experiment ( $n = 3$ ). See also Figures S3–S5 and Table S4 and S5.



**Figure 4. Open-like IL3R $\alpha$  Mutants Dissociate CSL362 Inhibition of IL-3 Binding from Inhibition of Signaling**

(A) Close-up view of the IL3R $\alpha$  NTD and D2 interface in the open conformation for the WT (upper panel) and modeled D196K mutant (lower panel). (B) Binding affinity of IL3R $\alpha$  variants for IL-3, CSL362, or 9F5, measured in saturation binding studies on transfected COS cells. N.B., no binding.

(C) Competition for IL-3 binding to COS cells expressing  $\beta$ c and IL3R $\alpha$  WT (filled blue circles), SP2 (open blue circles), D196A (filled black circles), D196L (filled purple squares), D196K (filled red squares), or D196R (filled black squares), using labeled IL-3 at the  $K_D$  value for each receptor (from B).

(D) Directly measuring IL-3 binding and simultaneous CSL362 binding to COS cells expressing  $\beta$ c and IL3R $\alpha$  WT (filled and open blue circles), D196A (filled and open black circles), D196L (filled and open purple squares), or D196K (filled and open red squares) using Eu-IL-3 (filled symbols) at the  $K_D$  value for each receptor and Tb-CSL362 (open symbols).

(E) Ba/F3 cells expressing  $\beta$ c and the same IL3R $\alpha$  species in (C) were stimulated with IL-3 and cell proliferation determined.

(F) As for (E), but cells were preincubated with CSL362 followed by IL-3 stimulation at the relevant  $EC_{50}$  value (from E).

(G) As for (F), but cells were preincubated with CSL362, stimulated with IL-3 10 min, and lysates immunoblotted for phosphorylated STAT5 or actin. For (C), (D), (E), and (F), the data are the mean of triplicate determinations from a representative experiment ( $n = 2$ ) and errors represent SD. See also Figure S5.

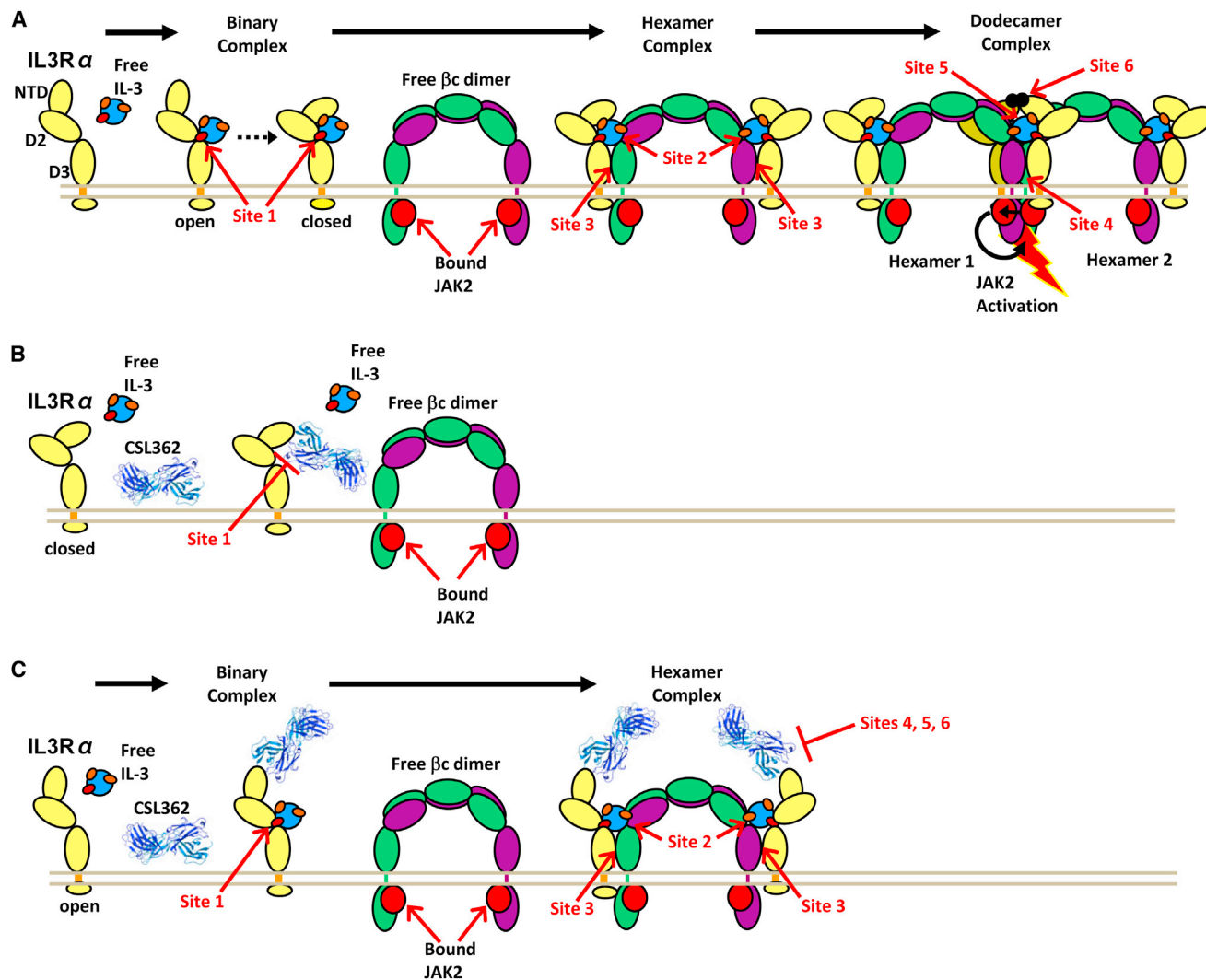
previously shown to be functionally important, including S17, D21, T25, R108, F113, K116, and E119 (Barry et al., 1994; Bagley et al., 1996).

Although there is a small functional overlap between the CSL362 and IL-3 epitopes in the IL3R $\alpha$  NTD, we showed that CSL362 binding and IL-3 function could be dissociated (Figure S4). These results

two models of the IL3 receptor binary complex: one for each of the observed IL3R $\alpha$  conformations (Figures 3A, 3C, and 3D). The closed IL-3:IL3R $\alpha$  model (Figure 3A) is analogous to the IL-5:IL5R $\alpha$ , IL-13:IL13R $\alpha$ 1, and IL-13:IL13R $\alpha$ 2 crystal complexes (Figures 1C, 1D, and S4A). A previous IL-3:IL3R $\alpha$  model, containing only D2 and D3 of IL3R $\alpha$  (Dey et al., 2009), and our current IL-3:IL3R $\alpha$  models guided extensive mutagenesis of IL3R $\alpha$  and testing for IL-3 binding and signaling. Consistent with the cytokine receptor paradigm, site 1a in IL3R $\alpha$  utilizes residues in the D2-D3 elbow with F198, N232, E275, and Y278 being major binding determinants, whereas site 1b in the NTD involved residues Q37, Y58, Q69, and G71 (Figure 3). Importantly, many of the IL3R $\alpha$  site 1 residues identified from our IL-3:IL3R $\alpha$  models (Figure 3; Table S4) interact with residues in IL-3 that we have

suggested that the overlap between the CSL362 and IL-3 epitopes is not sufficient to fully explain the blocking effect of CSL362. A new mechanism of action for CSL362 was suggested by modeling the IL-3 receptor ternary complex based on the structure of the related GM-CSF receptor complex (Hansen et al., 2008). We previously showed that the functional GM-CSF receptor complex is a dodecamer formed by the association of two hexamers, each containing a  $\beta$ c homodimer with two molecules of ligand and  $\alpha$  subunit in a head-to-head configuration (Hansen et al., 2008). This arrangement creates site 4 between hexamers, which can be disturbed by specific antibodies (Hansen et al., 2008; Broxmeyer et al., 2012), and brings  $\beta$ c-associated JAK2 molecules into close proximity to allow receptor transphosphorylation and signaling (Figure 5). Based on the





**Figure 5. Model of IL-3 Receptor Signaling and Mechanisms of Action of CSL362**

(A) Binding of IL-3 to IL3R $\alpha$  initiates the sequential assembly of the IL-3 receptor into an active signaling complex (dodecamer) through multiple interaction sites. IL3R $\alpha$  in yellow, IL-3 in blue, the  $\beta$ c homodimer in green and purple, and  $\beta$ c-associated JAK2 in red. Red arrows show interaction sites.

(B) CSL362 binding to closed IL3R $\alpha$  prevents IL-3 binding to site 1. CSL362 Fab is shown in the blue ribbon.

(C) CSL362 binding to open IL3R $\alpha$  allows IL-3 binding and hexamer formation but prevents assembly of high-order complexes.

See also Figure S5.

GM-CSF ternary crystal structure, both Patino et al. (2011) and Kusano et al. (2012) demonstrated that IL-5 could form analogous higher-order structures. Our modeling studies indicate that the IL-3 receptor complex can form similar higher-order structures (i.e., hexamers and dodecamers) for both open and closed IL3R $\alpha$  conformations (Figure S5). Significantly, CSL362 binding to open IL3R $\alpha$  in these models does not block IL-3 binding or formation of the open hexamer complex (Figures S5E and S5F) but prevents formation of the open dodecamer complex (Figures S5G and S5H).

Residues in the NTD-D2 interface determine the NTD orientation, and through mutagenesis of the S74 (NTD)-interacting residue D196 (D2), we generated IL3R $\alpha$  mutants that are predicted

to adopt an open-like conformation. We showed that cells expressing these mutants bind and respond to IL-3 (Figures 4B and 4E), but IL-3 binding is only partly competed for by CSL362 (Figures 4C and 4D), whereas functional responses to IL-3 are fully competed (Figures 4F and 4G). Mutation of the analogous interacting residues in IL5R $\alpha$ , D208 or I69 (Figure 1E), results in drastically reduced cytokine binding, suggesting that these residues are critical in maintaining the optimal orientation of the NTD for cytokine binding (Patino et al., 2011). The results support a model of IL-3 receptor activation in which IL3R $\alpha$  binds IL-3 to form a binary complex through site 1 before recruiting  $\beta$ c through sites 2 and 3 to form a hexameric complex. The recruitment of a second hexamer through sites 4, 5, and 6 (Lopez et al.,

2010; Broughton et al., 2012) forms a dodecameric complex that allows full IL-3 receptor signaling (Figure 5A). This model illustrates the classic mechanism of antibody blockade with CSL362 binding to closed IL3R $\alpha$  and preventing ligand binding (Figure 5B). The model also highlights a mechanism of antibody blockade whereby CSL362 prevents the formation of the signaling-competent open IL3R $\alpha$  dodecameric complex (Figure 5C). This model is likely to apply to other members of the  $\beta$ c family and to other cytokine receptor families that exhibit an analogous NTD and highlights a way by which mAbs can exert a “dual hit” to block cytokine receptor signaling.

## EXPERIMENTAL PROCEDURES

### Generation of Expression Constructs Encoding Full-Length IL3R $\alpha$ Mutants or Chimeras

Human IL3R $\alpha$  and GMR $\alpha$  cDNAs were cloned into the pcDNA3.1 expression vector (Invitrogen) and mutations generated by PCR. Selected IL3R $\alpha$  mutants were cloned in the pSG5 vector (Stratagene) or the pRufPuro: $\beta$ c-IRES-IL3R $\alpha$  retroviral expression vector or generated as soluble IL3R $\alpha$  proteins with a C-terminal 6xHis tag (sIL3R $\alpha$ -6His). Human  $\beta$ c cDNA was cloned in the pSG5 and pRufPuro: $\beta$ c-IRES-IL3R $\alpha$  vectors (details are provided in the Supplemental Experimental Procedures).

### Cell-Surface Receptor Binding and Expression Assays

Freestyle 293 suspension cells (Invitrogen) were transfected with plasmid DNA using 293Fectin (Invitrogen) (details are provided in the Supplemental Experimental Procedures). Transfected cells were stained with mAbs 7G3, 9F5 (Sun et al., 1996), and 107D2 (Beckman Coulter Genomics) or with biotinylated human IL-3 (ProSpec-Tany) followed by Streptavidin-PE (BD Biosciences). Functional studies used mAb 7G3 or its humanized variant, mAb CSL362, as they have indistinguishable specificity. mAb 9F5 binds IL3R $\alpha$  NTD and mAb 107D2 binds IL3R $\alpha$  D2D3 and were used as controls.

COS cells were electroporated with plasmids encoding IL3R $\alpha$  alone or in the presence of pSG5H: $\beta$ c and saturation binding assays with radioiodinated antibody or IL-3 performed (Woodcock et al., 1996). IL-3, 9F5, and CSL362 were radioiodinated with Pierce Pre-Coated Iodination tubes (Thermo Scientific). Dissociation constants were calculated using EBDA and LIGAND programs (Munson and Rodbard, 1980; KELL Radlig, Biosoft). Europium-labeled IL-3 (Eu-IL-3) and terbium-labeled CSL362 (Tb-CSL362) were prepared by DELFIA Systems (PerkinElmer) and used in binding assays as above with cell-bound ligand measured at 615 nm or 545 nm, respectively, using a Victor X5 multiplate reader (PerkinElmer; details are provided in the Supplemental Experimental Procedures).

### Surface Plasmon Resonance Binding Assays with sIL3R $\alpha$

The binding of IL-3, CSL362, and 9F5 to sIL3R $\alpha$  was measured using a Biacore 4000 biosensor with a CM-5 sensor chip at 25°C (details are provided in the Supplemental Experimental Procedures).

### Functional Studies for the IL-3 Receptor

Ba/F3 cell lines expressing  $\beta$ c and WT or D196 mutant IL3R $\alpha$  were generated using pRufPuro: $\beta$ c-IRES-IL3R $\alpha$  plasmids (details are provided in the Supplemental Experimental Procedures). Cell proliferation assays were performed as previously described (Hansen et al., 2008). For biochemical studies, cells were preincubated with CSL362 for 30 min prior to stimulation with IL-3 for 10 min. Cell lysates were immunoblotted with antibodies specific for phosphorylated STAT5 (Cell Signaling Technology) or actin as a loading control (Millipore).

### Modeling of the IL3R Binary and Ternary Complexes

The two IL-3 binary complex models (Figure 3) were constructed using the protein-protein docking algorithm RosettaDock (Lyskov and Gray, 2008). The two distinct IL3R $\alpha$  crystal structure conformations and a human IL-3 NMR structure (PDB ID: 1JLI model 1; Feng et al., 1996) were aligned via C $\alpha$

atoms with D3 of GMR $\alpha$  and GM-CSF, respectively, in the GM-CSF ternary complex crystal structure (PDB ID: 3CXE) to produce the rough protein-protein complexes as input to RosettaDock. We used a similar procedure to construct IL-3:IL3R $\alpha$ : $\beta$ c models, which were used to generate hexamer and dodecamer IL-3 receptor complexes (Figure S5; details are provided in the Supplemental Experimental Procedures).

## ACCESSION NUMBERS

The crystal structure has been deposited to the PDB under accession number 4JZJ.

## SUPPLEMENTAL INFORMATION

Supplemental Information includes Supplemental Results, Supplemental Experimental Procedures, five figures, and five tables and can be found with this article online at <http://dx.doi.org/10.1016/j.celrep.2014.06.038>.

## AUTHOR CONTRIBUTIONS

S.E.B. performed all the crystallographic studies and contributed to structural analyses; T.R.H. and P.S. prepared proteins and complexes for structural and functional studies; T.R.H., M.P.H., B.J.M., M.D., H.H., H.B., E.F.B., W.L.K., and D.H. did binding and functional studies; T.L.N. did molecular modeling; T.L.N. and U.D. assisted with structural analyses; S.J.B., C.M.O., A.D.N., and A.F.L. developed the CSL362 antibody; T.R.H., M.P.H., N.J.W., M.W.P., and A.F.L. designed experiments; S.E.B., T.R.H., M.P.H., and T.L.N. prepared figures; S.E.B., T.R.H., M.P.H., T.L.N., N.J.W., M.W.P., and A.F.L. wrote the paper; and N.J.W., M.W.P., and A.F.L. supervised the research.

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